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# X-ray diffraction

**Piero Macchi**

Department of Chemistry, Materials, Chemical Engineering “Giulio Natta”,  
Politecnico di Milano, Milano, Italy



# How to see small objects

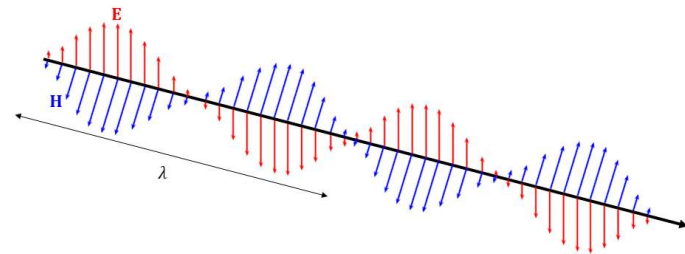
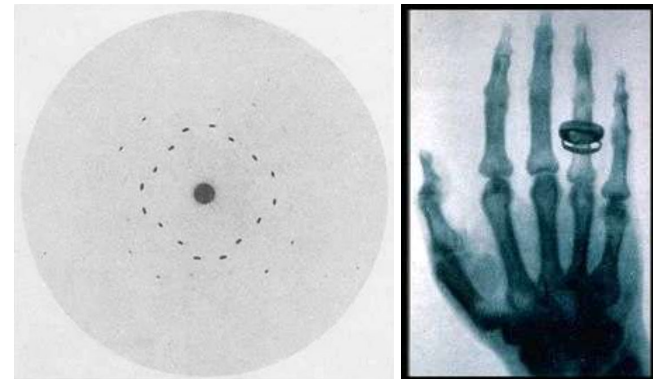
If we want to see things, we need light.

Depending on the object and the features we want to detail, the radiation must be appropriately selected. Imaging is a vital tool in natural science, but until the XIX century, the only light practicably usable for taking pictures of the objects under investigation, was the light visible by human eye, and the only technique to reconstruct a magnified image of a body was the optical microscopy.

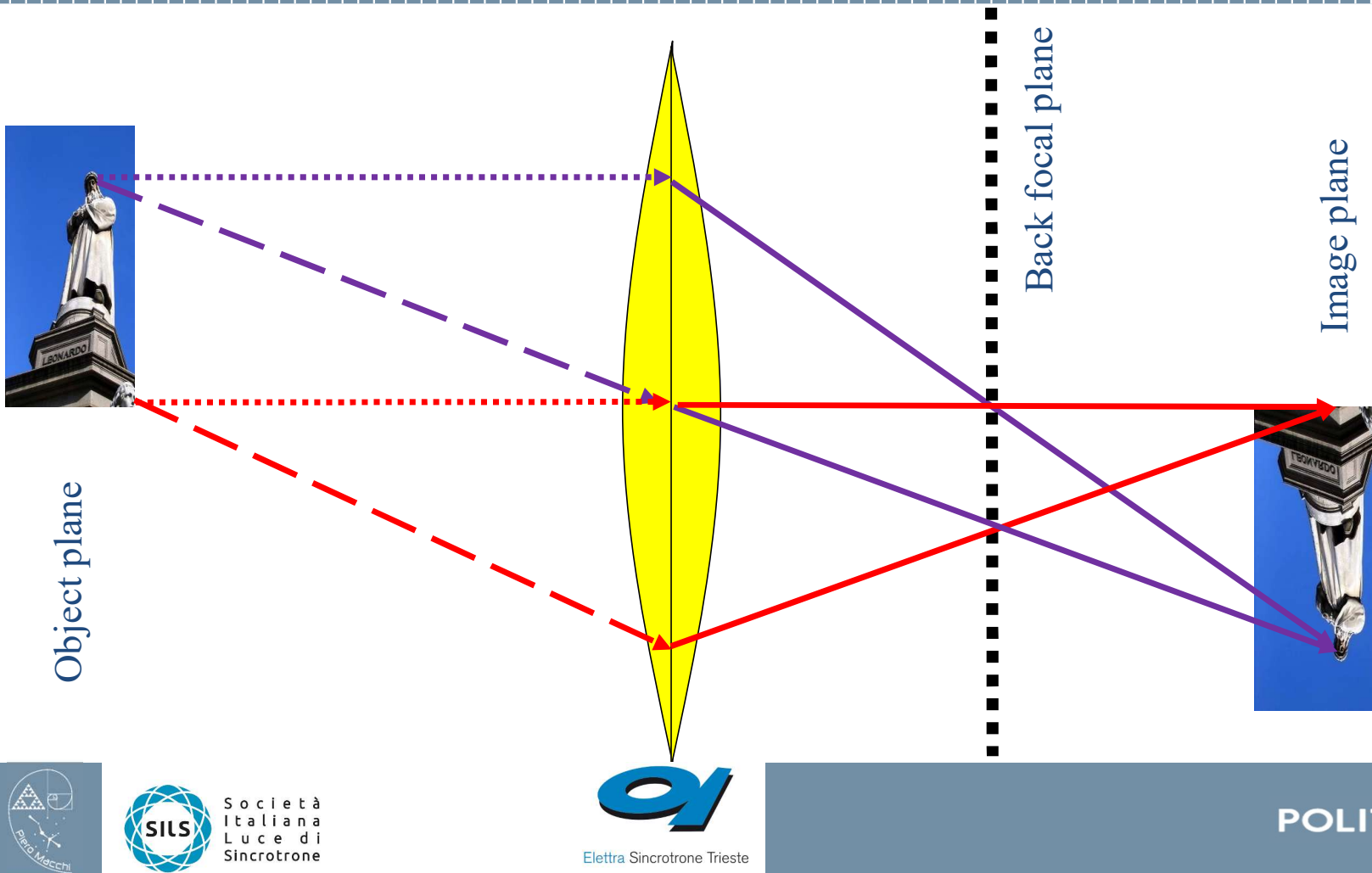
The perspective changed disruptively after the discovery of X-rays at the end of the XIX century. The higher energy of this radiation implied an unprecedented penetration power that enabled to inspect the inside of objects.

Even more, the higher energy meant noticeably short wavelength and therefore the ability to detail smaller particles and inspect that mysterious part of the realm that was puzzling physicists at the turn of the century.

Weiss (1996) provocatively wrote that “if it were not for electrons, photons would leave in a dreary world of nothingness”. Indeed, **it is through interaction between photons and electrons that we can do the observations and without this interaction photons would be “useless”**.



# If we use visible light and a magnifying lens...



With an objective lens, we can magnify 10-1000 times. That's not enough to see atoms!  
**What is the limit?**



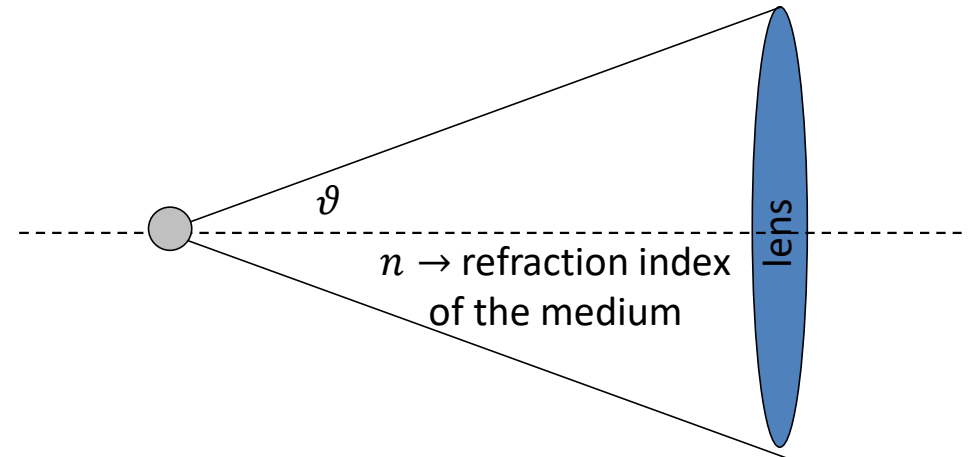
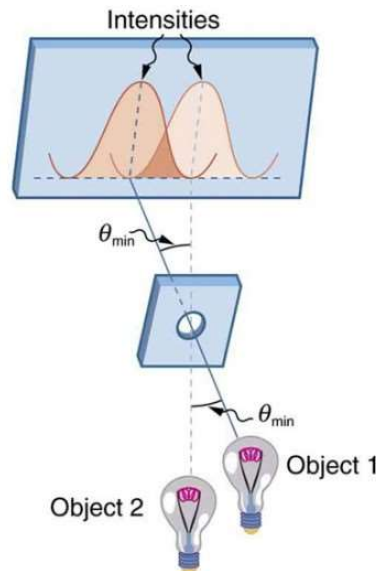
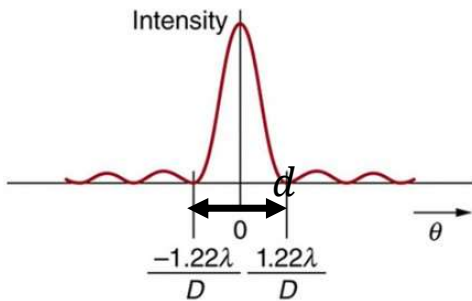
# Resolution Limit

minimal distance  
that we can resolve

$$r = \frac{d}{2} = \frac{1.22\lambda}{D} = \frac{0.61\lambda \text{ wavelength}}{n \sin \vartheta}$$

numerical aperture

Rayleigh criterion





# So we need the right wavelength.....

$$E = mc^2 = h\nu$$

Dualism particle/wave

$$\lambda = \frac{h}{mv} = \frac{nh}{mc} = \frac{nc}{\nu}$$

$E$  = energy

$m$  = mass

$h$  = Planck's constant

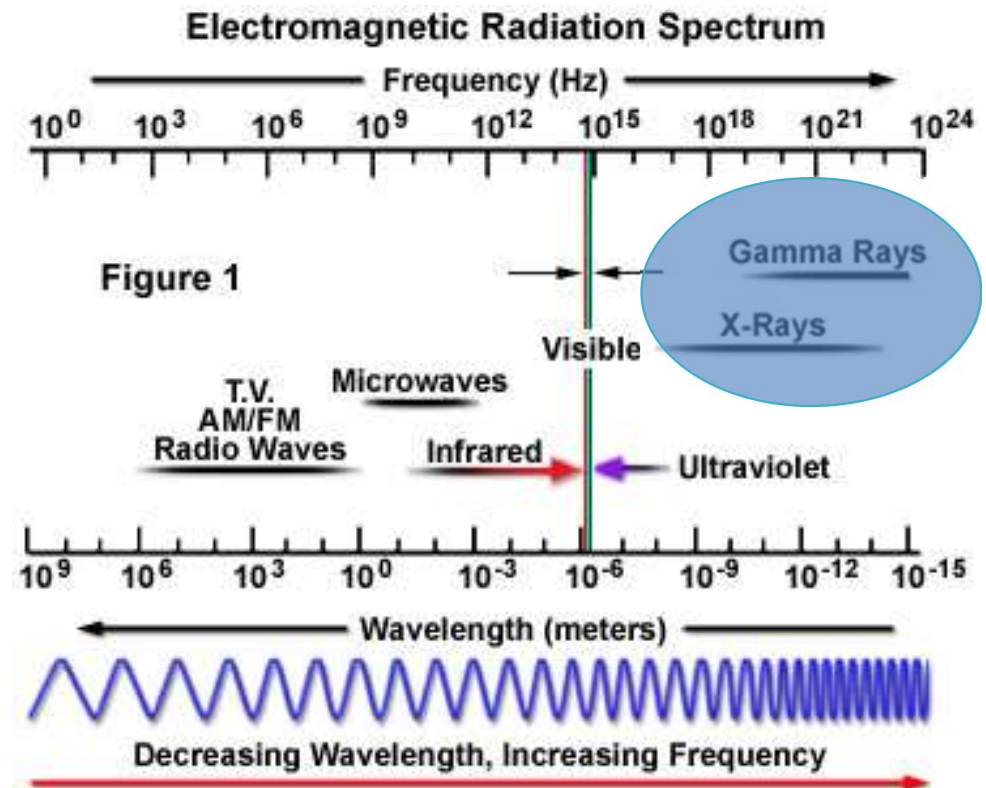
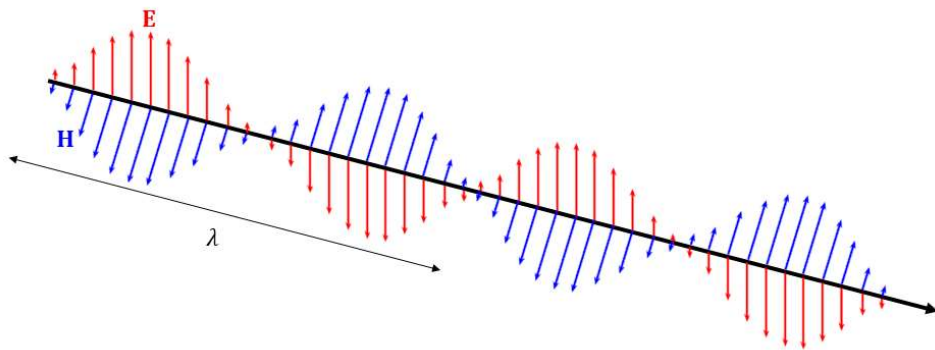
$\nu$  = frequency

$\lambda$  = wavelength

$c$  = speed of light in vacuum

$v$  = speed of light in a medium

$n$  = refraction index



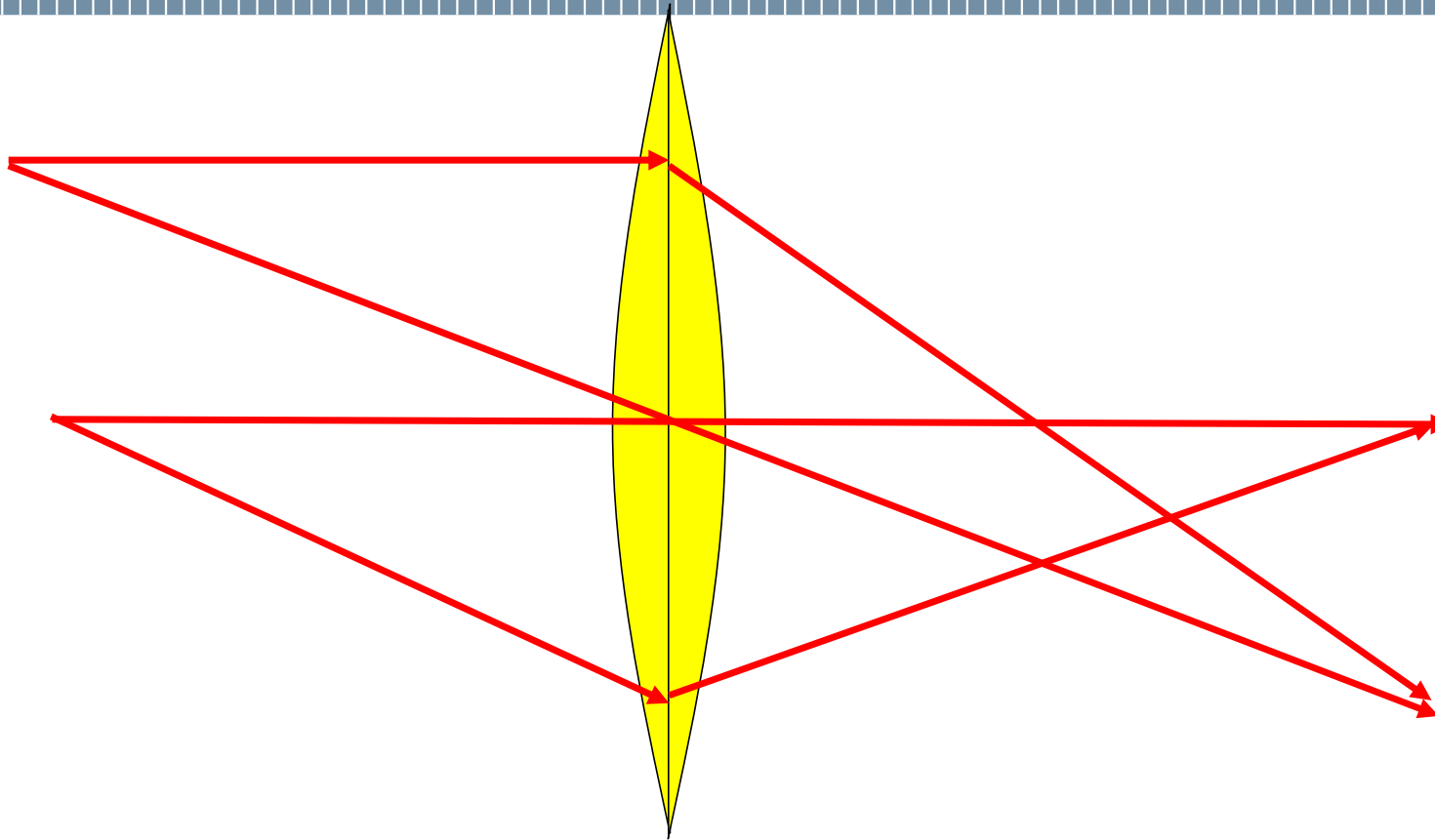
We need a sufficiently high energy radiation: X-ray or  $\gamma$ -ray but also....



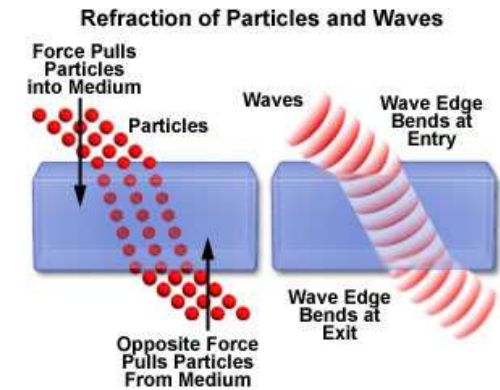
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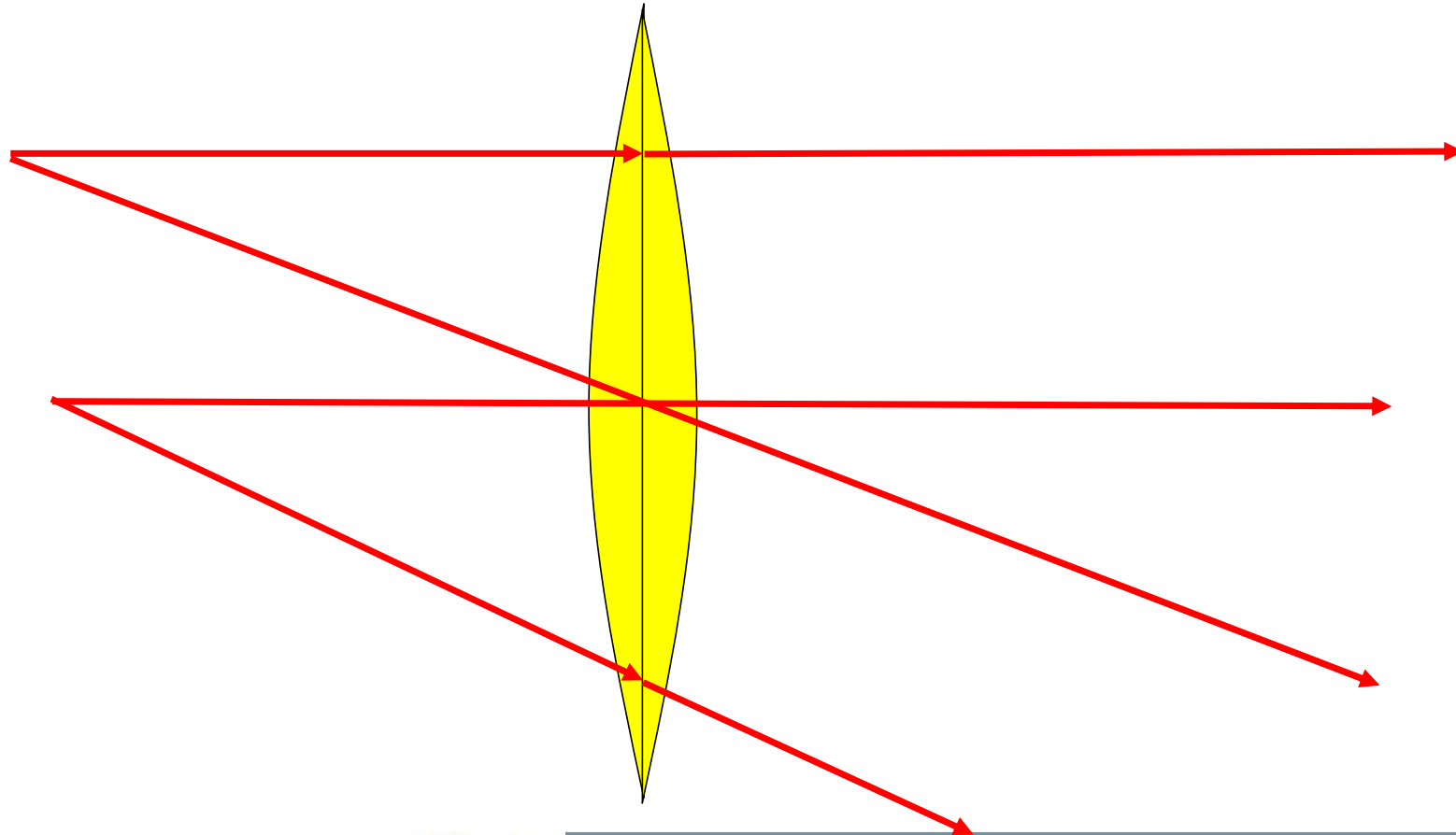
# What kind of lens....



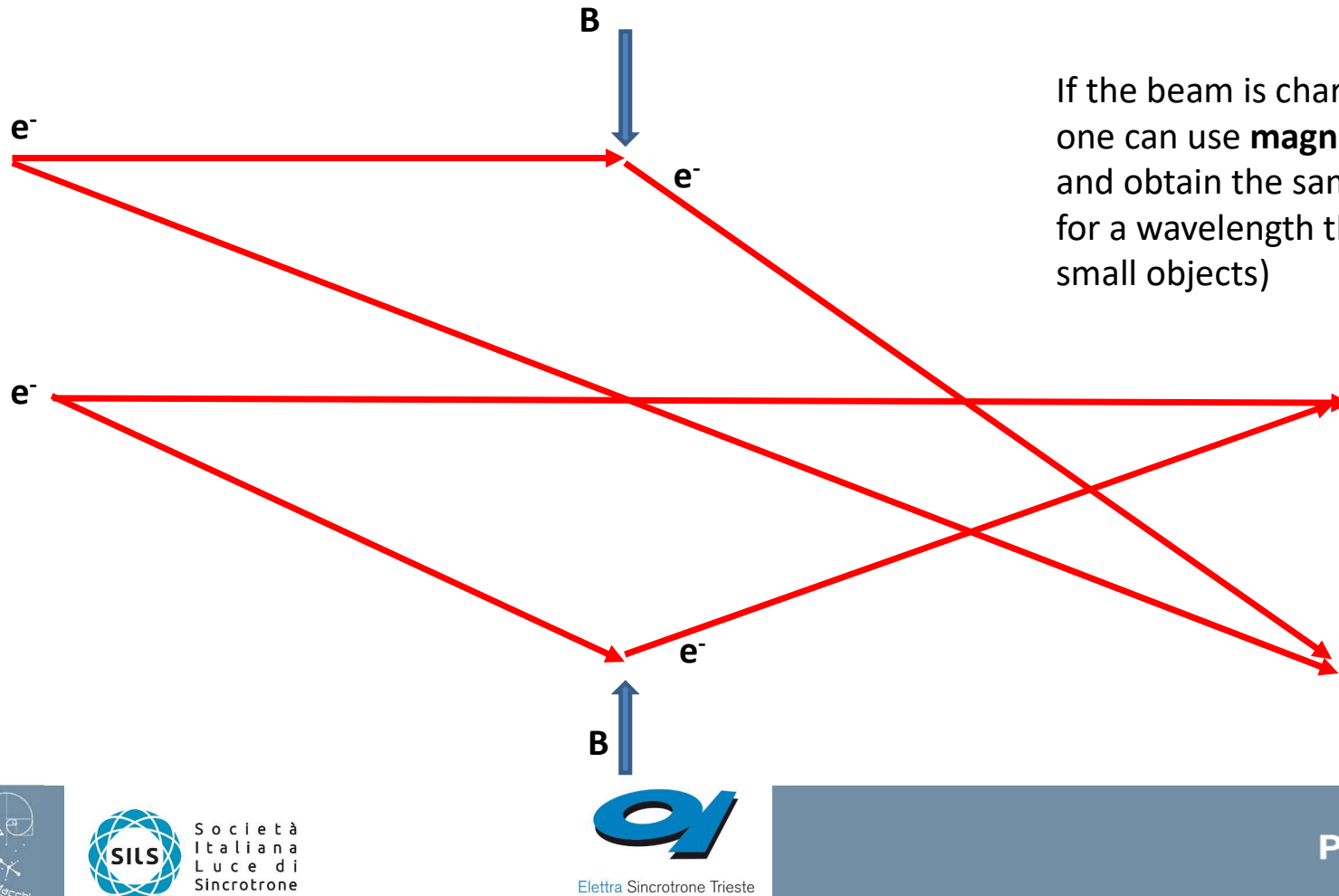
In a conventional, optical microscope, lenses use refraction of light to focus the rays and form the image



But..... at high Energy,  $n \approx 1$



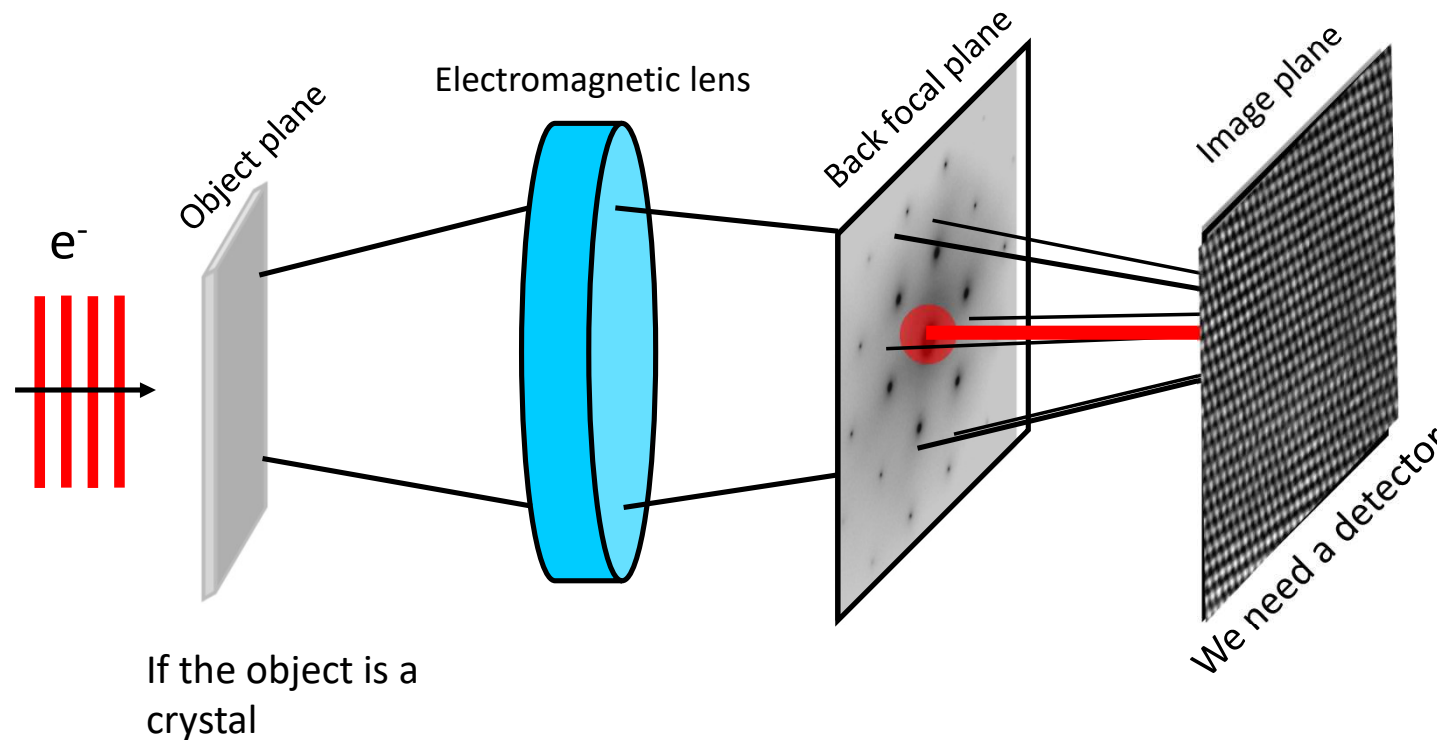
# Electromagnetic lenses



If the beam is charged, like a beam of electrons, one can use **magnetic fields** to deflect their paths and obtain the same effect of a glass lens (though for a wavelength that can be appropriate to see small objects)



# Electron microscope

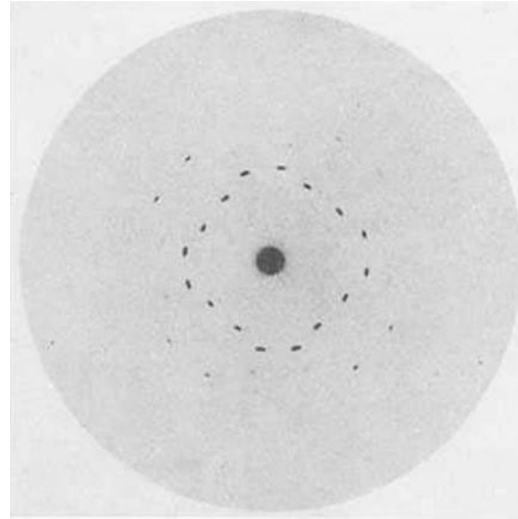


By accelerating electrons to ca. 50-300 kV, hypothetical resolutions of less than 1 nm are achievable

**PROBLEM:** electrons are charged and therefore extremely sensitive to particles along their path. High vacuum is necessary

## What is the back focal plane image?

# X-ray crystallography

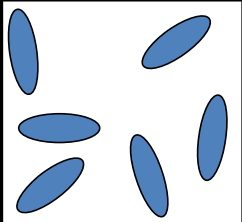
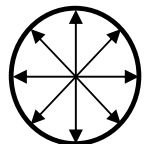
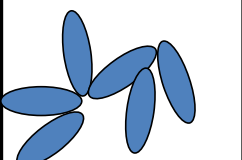
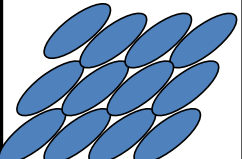
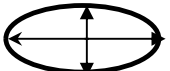


There are two “crystallography”: before and after 1896

The revolution: in 1913 Bragg and von Laue determined crystals structures of NaCl, KCl, KBr, KI, ZnS, Diamond etc. using the diffraction of X-rays produced by crystals.

# What is a crystal?

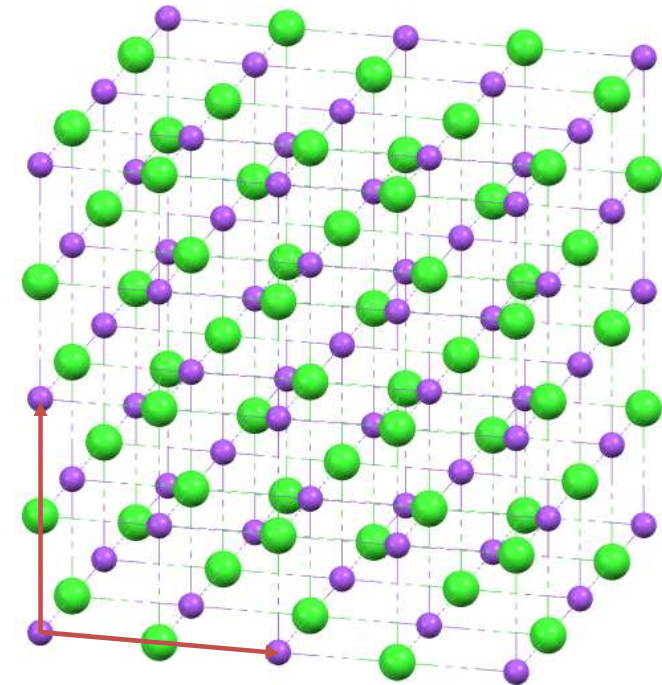
A crystal is an **anisotropic, homogeneous** object, that possesses a **three-dimensional periodic order** generated by the exact repetition of elementary bricks

Kinetic energy of molecules	representation	Molecular distribution	Physical properties
		Statistically homogeneous	 isotropic
			
	Periodically homogeneous	 anisotropic	

Gas / liquid  
(indistinguishable  
above the critical point)

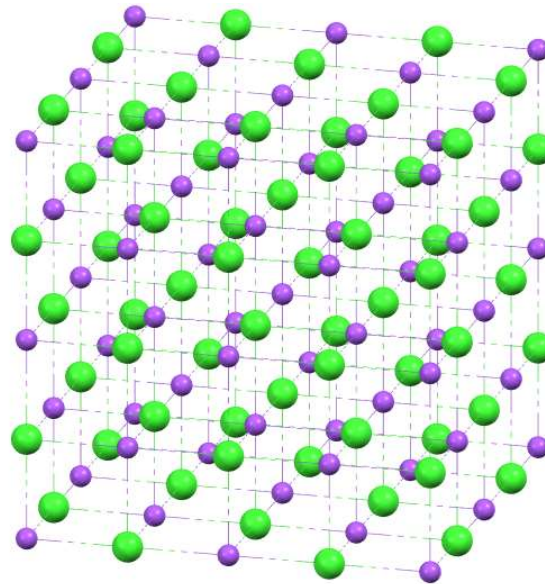
amorphous  
solids

Crystalline state



# The crystal structure

The **crystal structure** is the set of positions occupied by regularly disposed atoms in an ideal crystal.



# The crystal lattices

A lattice in the vector space  $\mathbf{V}^n$  is the set of all integral linear combinations

$$\mathbf{t} = u_1 \mathbf{a}_1 + u_2 \mathbf{a}_2 + \dots + u_k \mathbf{a}_k$$

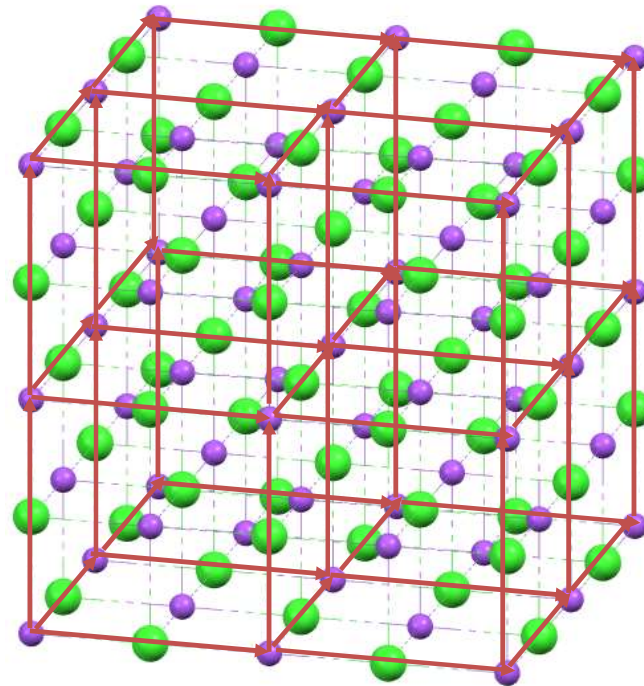
of a system  $(\mathbf{a}_1, \mathbf{a}_2, \dots, \mathbf{a}_k)$  of linearly independent vectors in  $\mathbf{V}^n$

If  $k = n$ , *i.e.* if the linearly independent system is a basis of  $\mathbf{V}^n$ , the lattice is often called a **full lattice**. In crystallography, lattices are almost always full lattices, therefore the attribute *full* is usually suppressed.

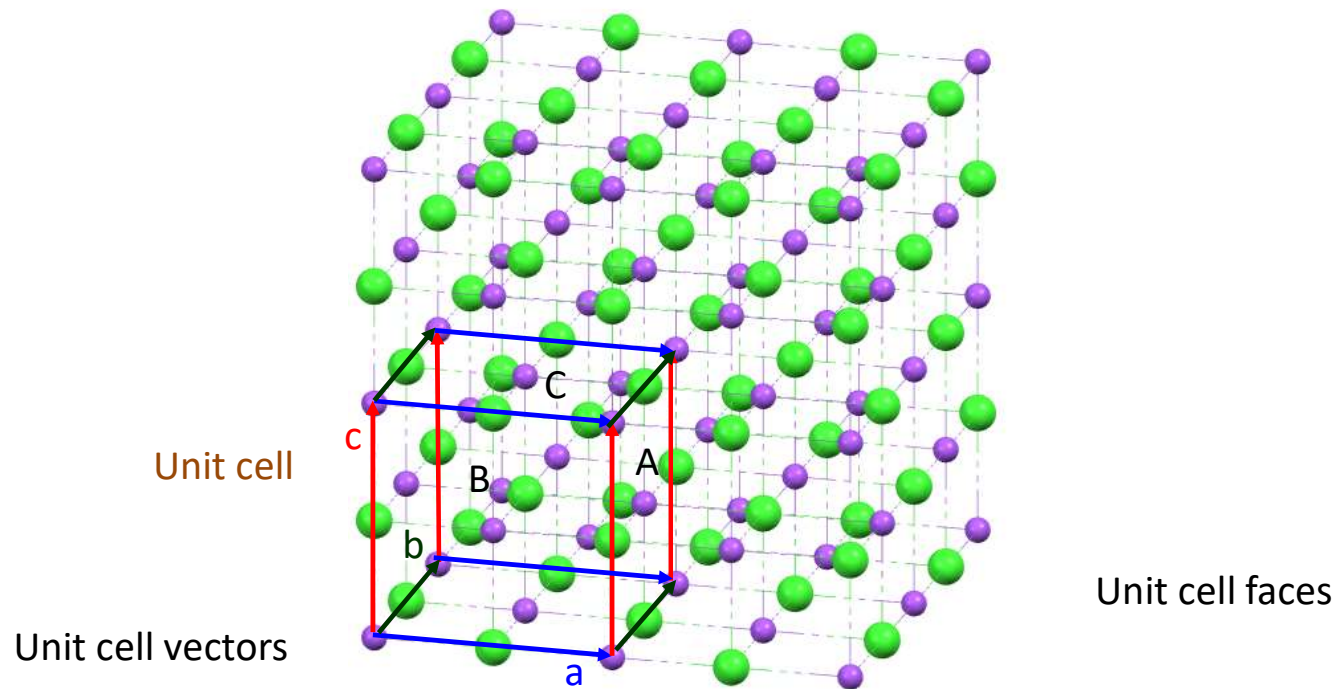




# The crystal lattices

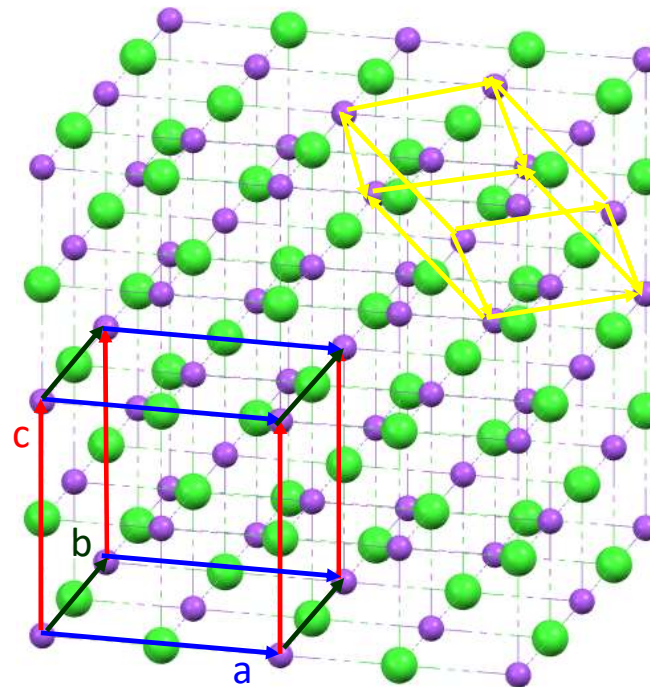


# The crystal lattices



# The crystal lattices

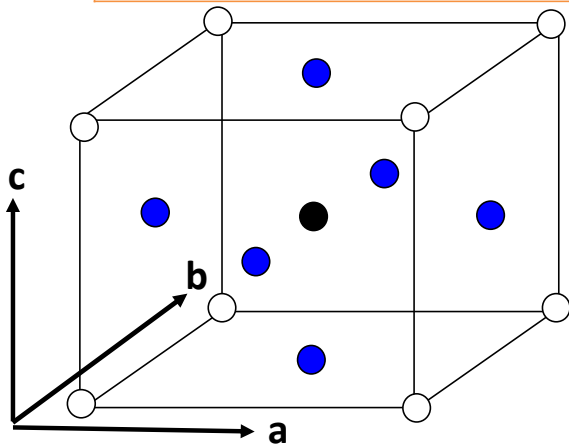
F-centered unit cell



Primitive unit cell

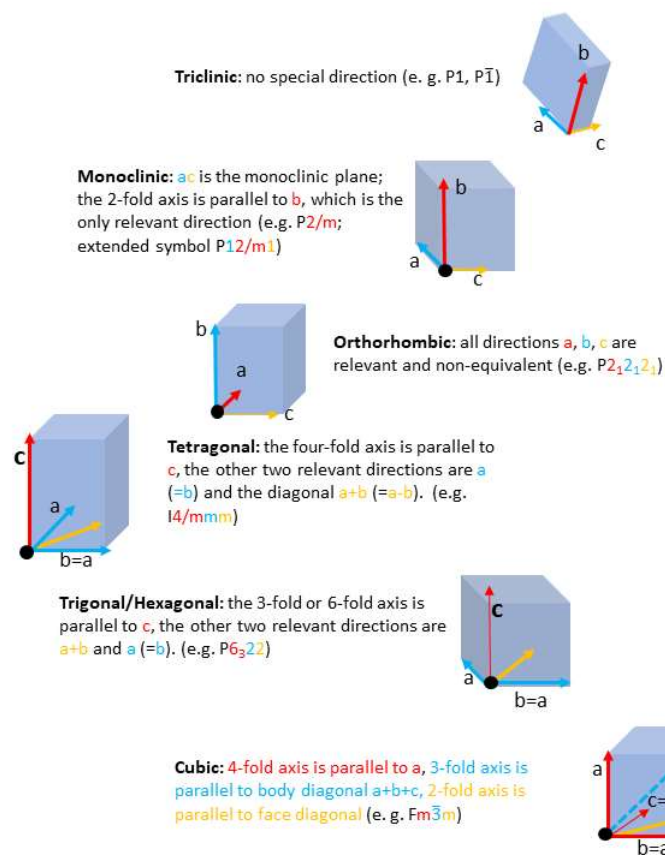
# The crystal lattices

Lattice type	Axis metric	Angular metric	Centering type
Triclinic	$a \neq b \neq c$	$\alpha \neq \beta \neq \gamma \neq 90^\circ$	None
Monoclinic	$a \neq b \neq c$	$\alpha = \gamma = 90^\circ, \beta > 90^\circ$	A, C, I
Orthorhombic	$a \neq b \neq c$	$\alpha = \beta = \gamma = 90^\circ$	A, B, C, F, I
Tetragonal	$a = b \neq c$	$\alpha = \beta = \gamma = 90^\circ$	I
Trigonal Rhombohedral	$a = b = c$	$\alpha = \beta = \gamma$	None
Hexagonal, Trigonal	$a = b \neq c$	$\alpha = \beta = 90^\circ, \gamma = 120^\circ$	R
Cubic	$a = b = c$	$\alpha = \beta = \gamma = 90^\circ$	F, I



- Lattice node at unit cell vertex
- Lattice node at unit cell centre
- Lattice node at unit cell face centre

# The crystal symmetries: 32 crystallographic point groups

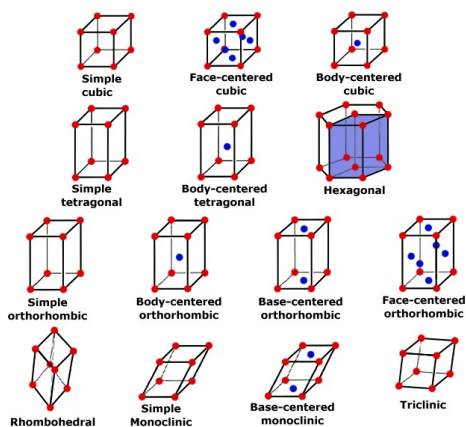


lattice type	centrosymmetric	
	point group (Laue class)	non-centrosymmetric point group
	achiral	achiral compatible with chirality
Triclinic	$\bar{1}$	1
Monoclinic	$2/m$	$m$
Orthorhombic	$mmm$	$mm2$
	$4/m$	$\bar{4}$
Tetragonal	$4/mmm$	$\bar{4}m2$
		$4mm$
Trigonal	$\bar{3}$	3
Rhombohedral	$\bar{3}m$	$3m$
	$6/m$	$\bar{6}$
Hexagonal	$6/mmm$	$\bar{6}2m$
		$6mm$
	$m\bar{3}$	23
Cubic	$m3m$	$\bar{4}3m$
		432



# The crystal symmetries: 230 crystallographic space groups

**14 lattice types (lattice systems)**  
describe the combinations of  
translational vectors allowed in 3D



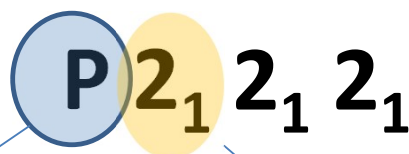
**32 point group classes**  
Describe symmetry of the  
periodic system as a whole object

+ symmetry elements including  
partial translations  
(glide planes and helical axes)

**230 space groups**  
Describe completely the structure



# The crystal symmetries: 230 crystallographic space groups



Centered or primitive lattice

**P** = primitive

**A** = face A (formed by  $\underline{b}$  and  $\underline{c}$  vectors) is centered

**B** = face B (formed by  $\underline{a}$  and  $\underline{c}$  vectors) is centered

**C** = face C (formed by  $\underline{a}$  and  $\underline{b}$  vectors) is centered

**F** = all faces are centered

**I** = body centered

Contracted form of the symmetry  
along a specific directions

Sometime the space group symbol  
is also contracted, removing  
directions having only identity (for  
example **P2**<sub>1</sub>/c (the extended  
symbol would be **P 1 2**<sub>1</sub>/c **1**)

# The crystal symmetries: properties

## **Neumann's principle:**

*If a crystal structure is invariant with respect to certain symmetry operations, any of its physical properties must also be invariant with respect to the same symmetry operations.*



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# The crystal symmetries: properties

Crystal system	Piezoelectric point groups	Pyroelectric (polar) point groups
Triclinic	1	1
Monoclinic	2, $m$	2
Orthorhombic	222, $mm2$	222
Tetragonal	4, $\bar{4}$ , 422, $4mm$ , $42m$	4, $4mm$
Trigonal	3, 32, $3m$	3, $3m$
Hexagonal	6, $\bar{6}$ , $6mm$ , 622, $62m$	6, $6mm$
Cubic	23, $\bar{4}3m$	



# The crystal symmetries: diffraction

lattice type	centrosymmetric point group (Laue class)	non-centrosymmetric point group	
	achiral	achiral	compatible with chirality
Triclinic	$\bar{1}$		1
Monoclinic	$2/m$	$m$	2
Orthorhombic	$mmm$	$mm2$	222
Tetragonal	$4/m$	$\bar{4}$	4
	$4/mmm$	$\bar{4}m2$	422
		$4mm$	
Trigonal	$\bar{3}$		3
Rhombohedral	$\bar{3}m$	$3m$	32
Hexagonal	$6/m$	$\bar{6}$	6
	$6/mmm$	$\bar{6}2m$	622
		$6mm$	
Cubic	$m\bar{3}$		23
	$m\bar{3}m$	$\bar{4}3m$	432





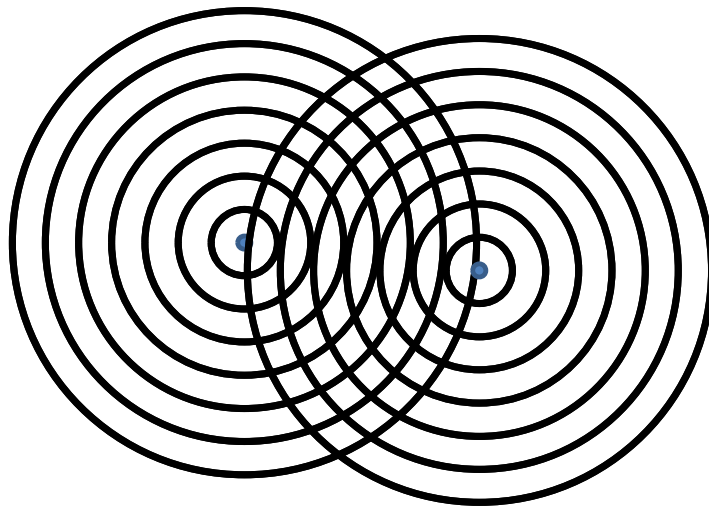
# What is a crystal in X-ray diffraction experiments?



A crystal is like a **magnifying tool** that enables the visualization of its microscopic (sub-nanometric) constituents and the enhancement of their properties

# Diffraction of light: Huygens principle

Any wave can be thought of as spreading spherically at every point along its wave front. All these little spherical 'wavelets' are constantly interacting, so can maintain a planar (or flat) wave front. But if this wave front is interrupted by an obstacle the wave spreads spherically at the points where it has been broken.



# Diffraction from one slit

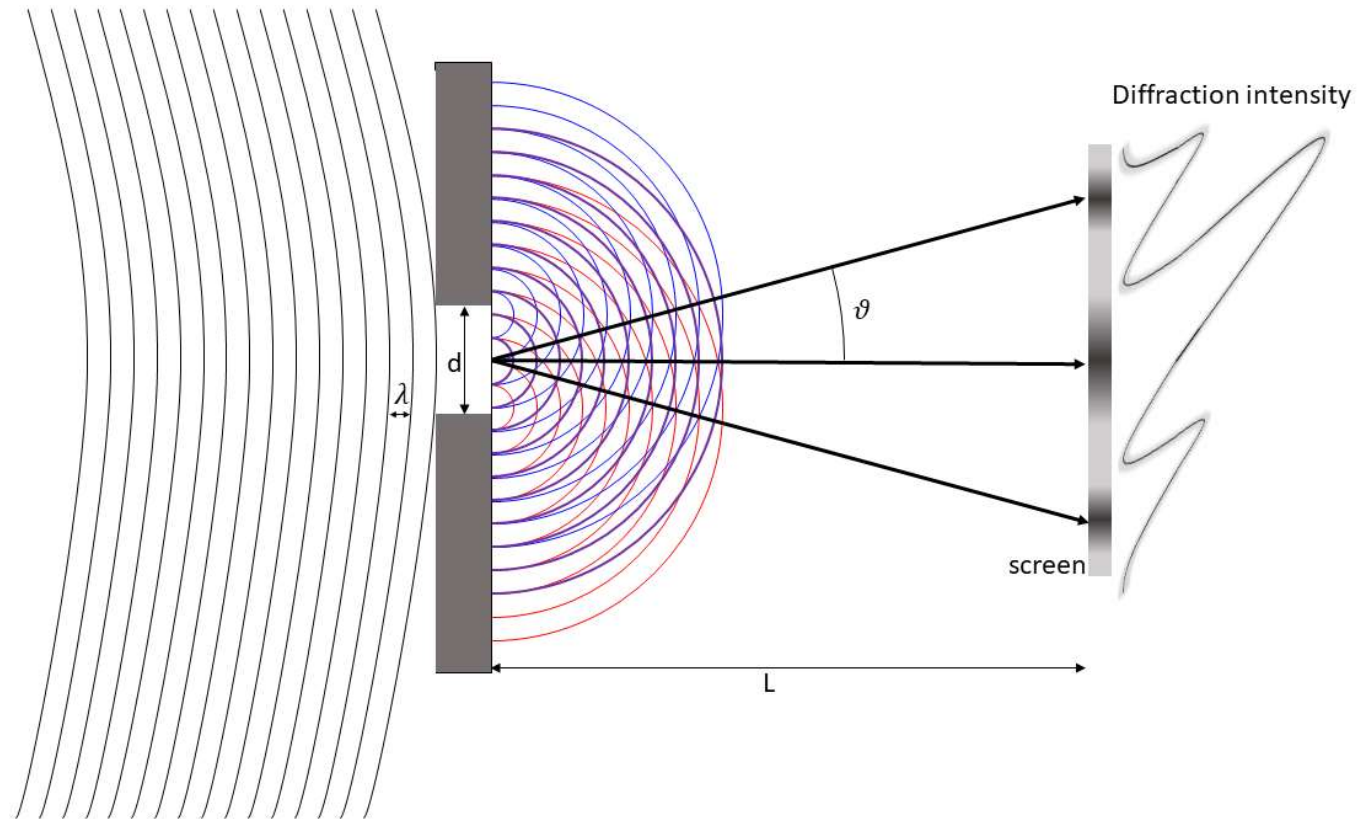
Two rays will form **destructive interference** if

$$r = (d/2 \sin \vartheta) = n \lambda / 2$$

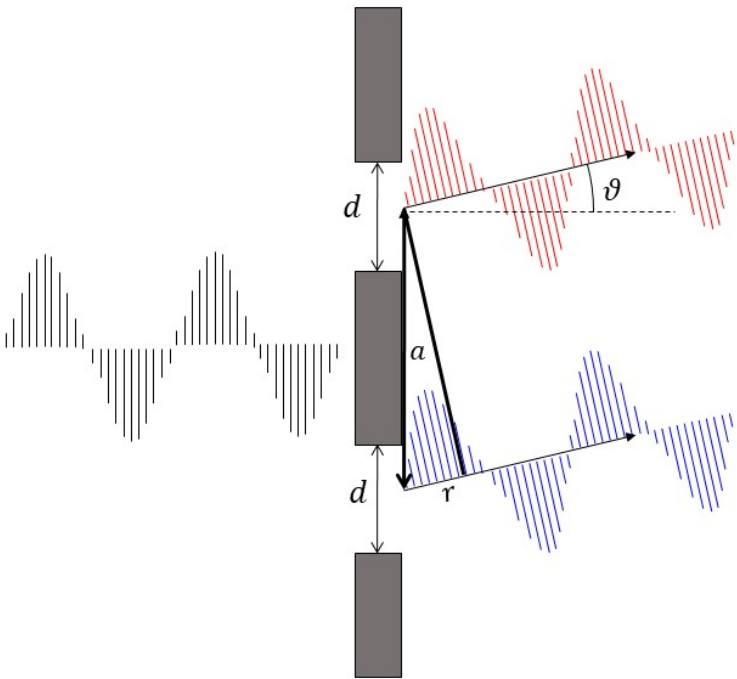
Thus, destructive interference is present if

$$d \sin \vartheta = n \lambda$$

Everywhere else there is some non-destructive interference



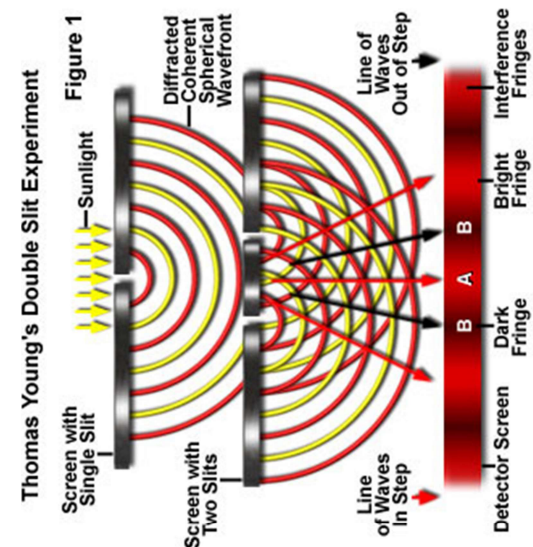
# Diffraction from two slits



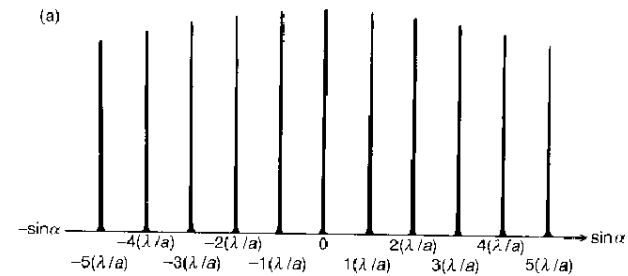
These two rays will form **constructive interference** if

$$r = (a \sin \vartheta) = n \lambda$$

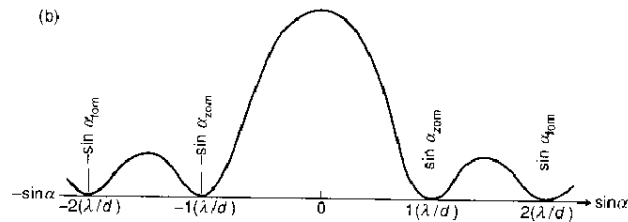
Everywhere else there will be destructive interference



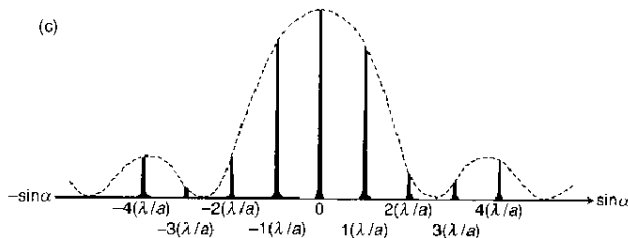
# Diffraction from two slits



Conditions imposed by the slit separation

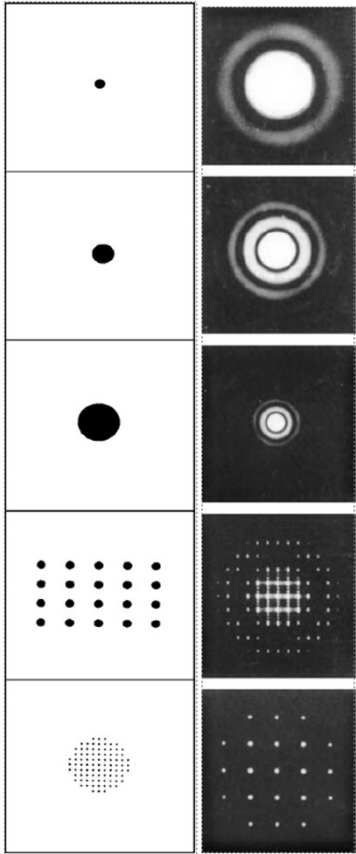


Diffraction from a single slit



Diffraction from two slits

# Diffraction images



If we take a metal slit with a micrometric aperture and irradiate with a visible light (nanometric wavelength ) we observe a scattering that depends on:

- a) Shape and size of the aperture
- b) Wavelength of the radiation

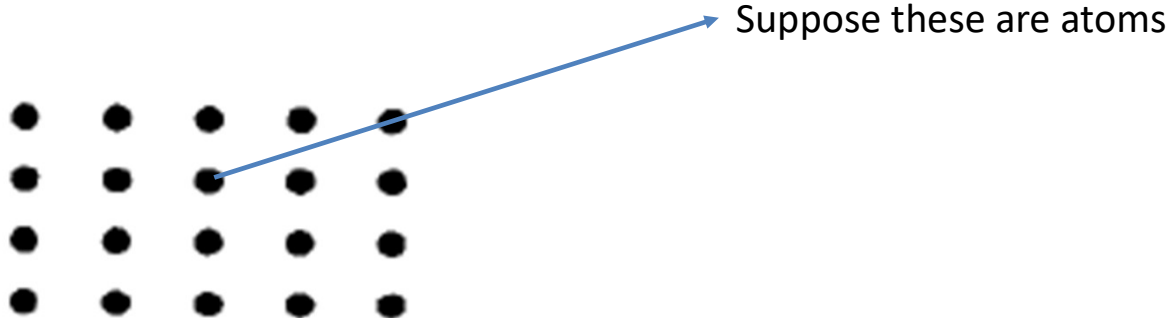
If we take a slit with a periodic distribution of apertures, the diffraction pattern depends also on the lattice



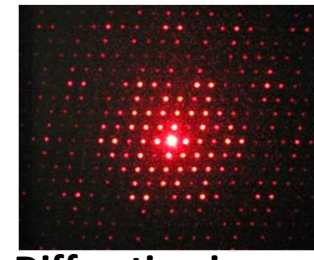
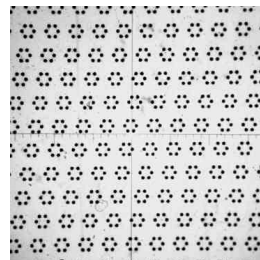
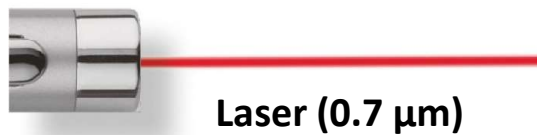
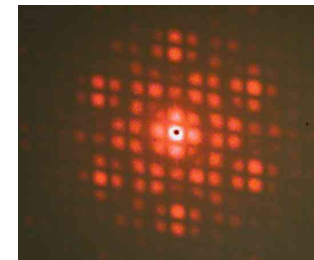
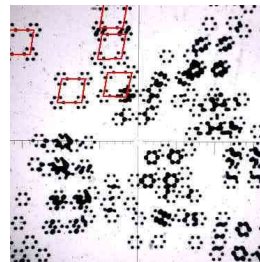
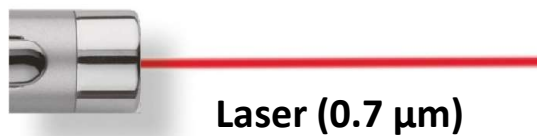
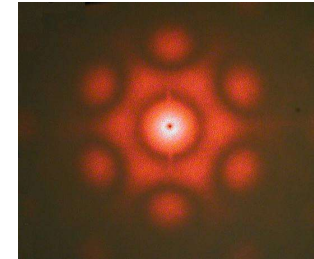
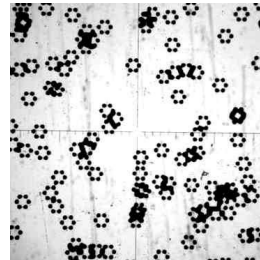
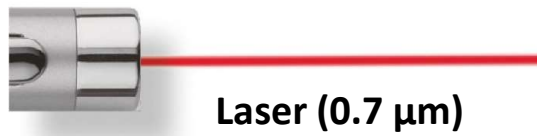
# Interference of waves

The analogy between X-ray and visible light is clear if we think of atoms like “slits” or obstacles that deviate the waves with a specific “form factor”

If atoms are arranged periodically, then the diffraction pattern will resemble that of a grid put in visible light



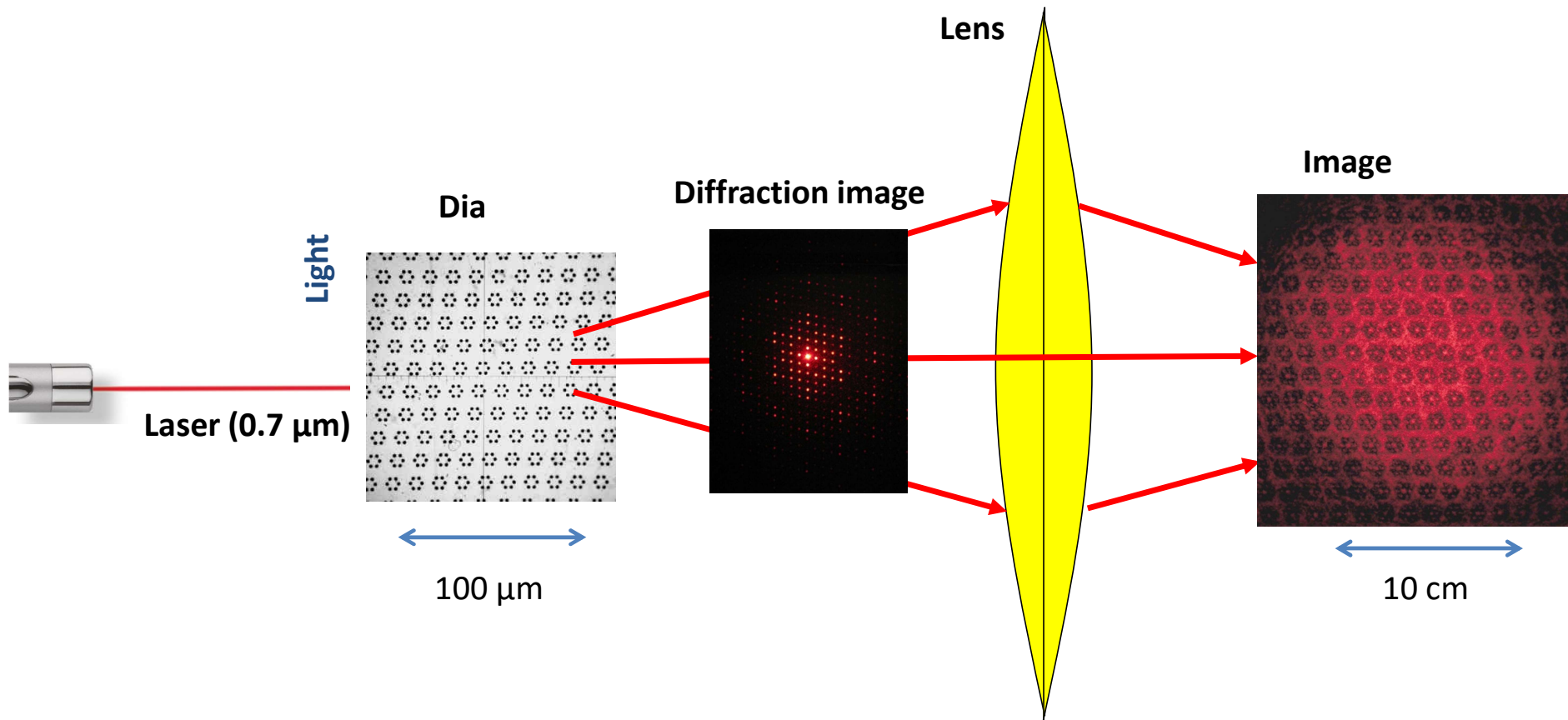
# Diffraction Images



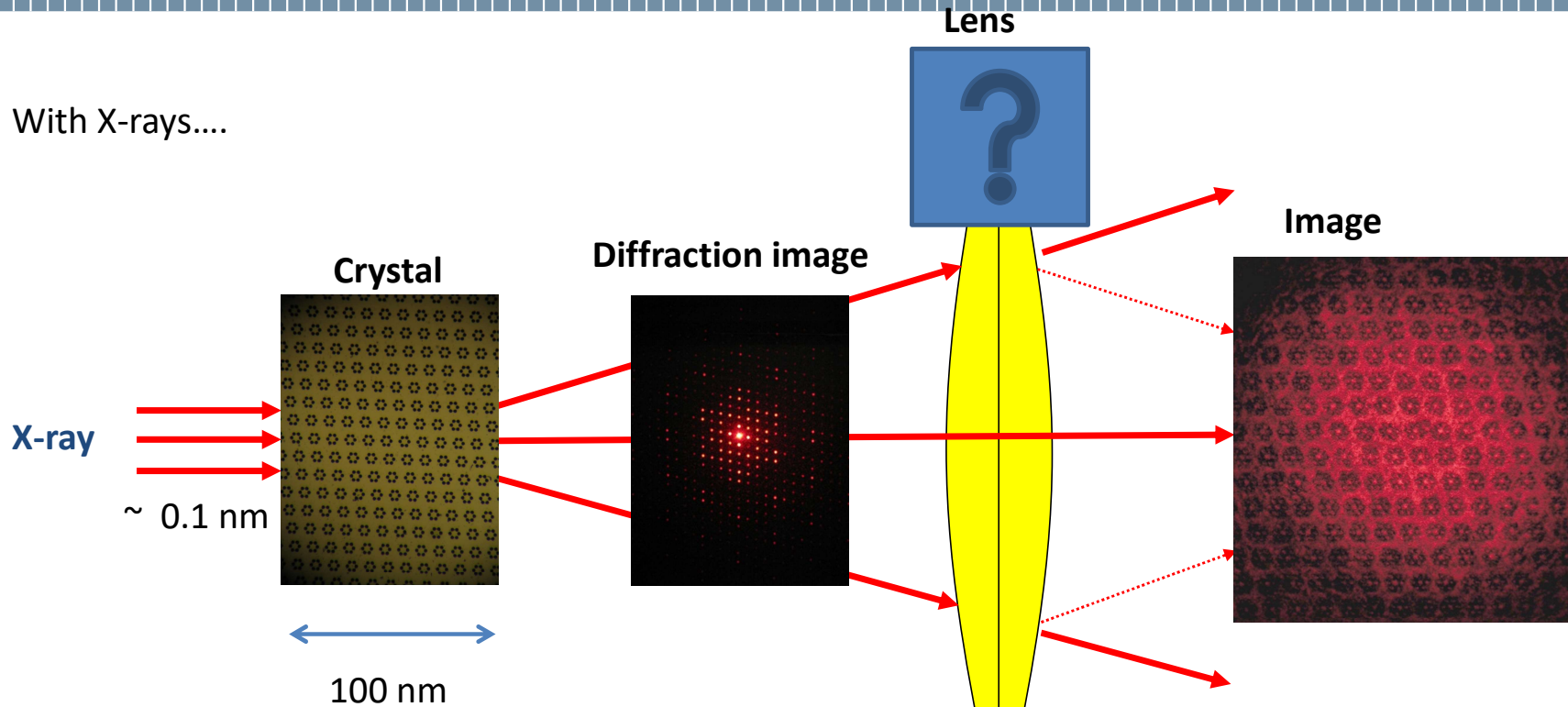
Slides with micrometric dots

Diffraction images

# Microscopy/Diffraction two sides of the same coin

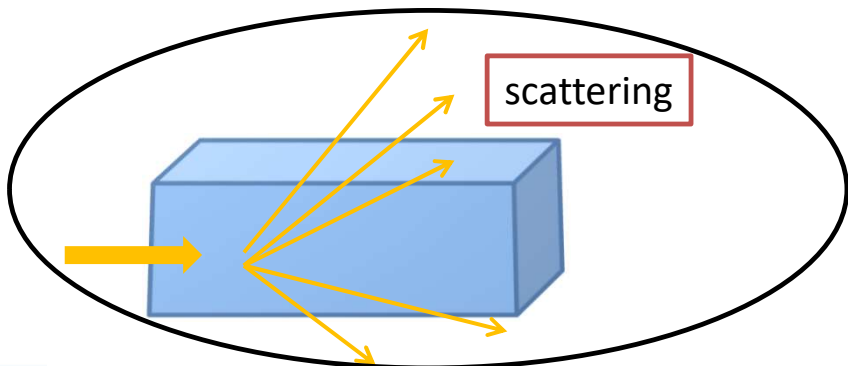
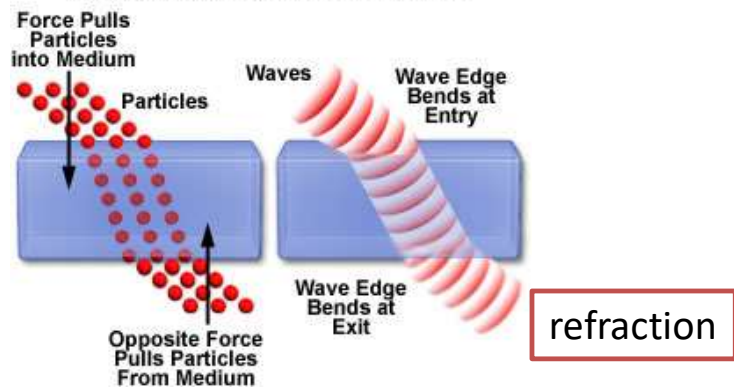


# Microscopy/Diffraction two sides of the same coin

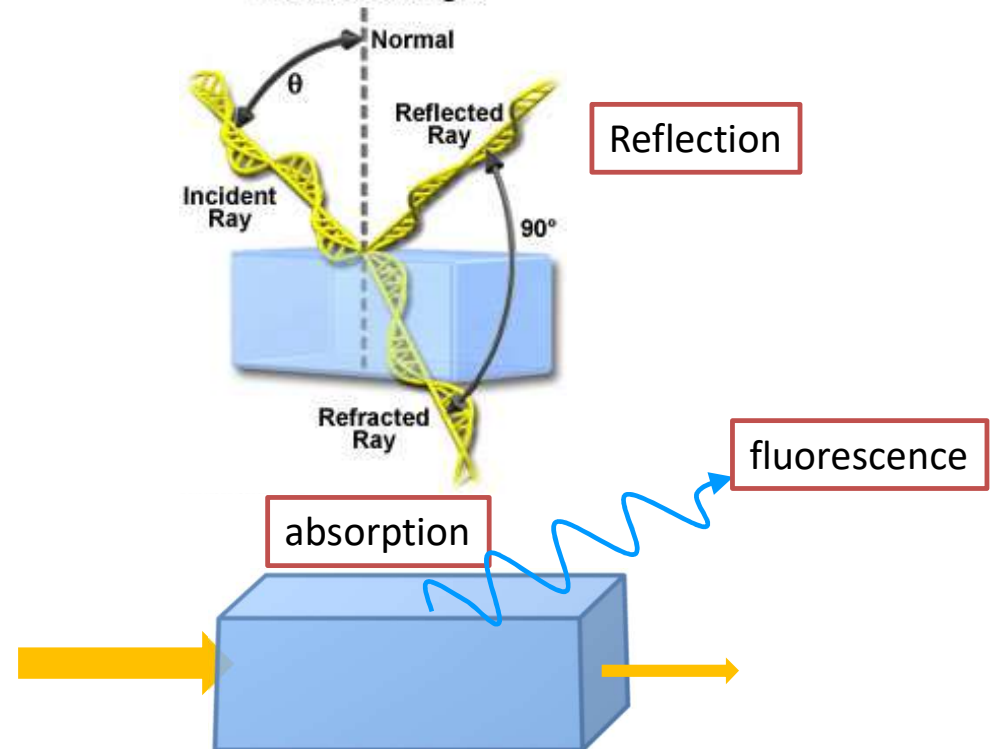


# Interaction of X-rays with matter

Refraction of Particles and Waves

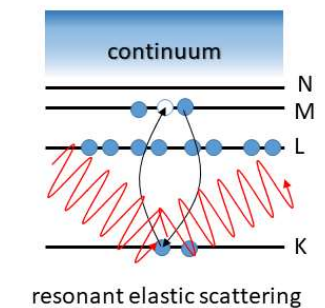
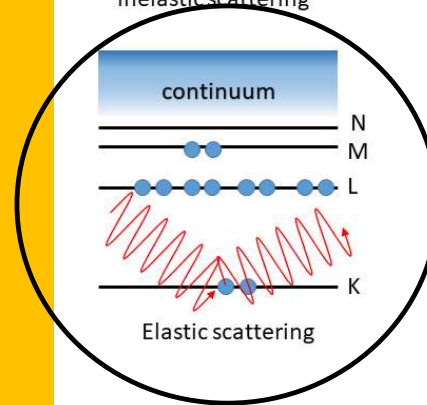
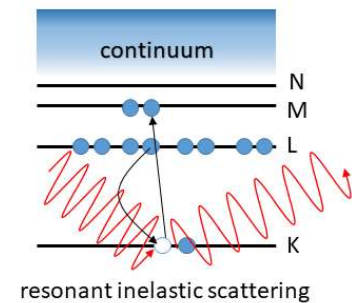
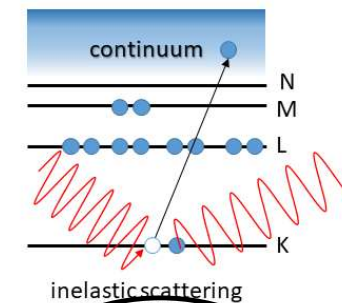
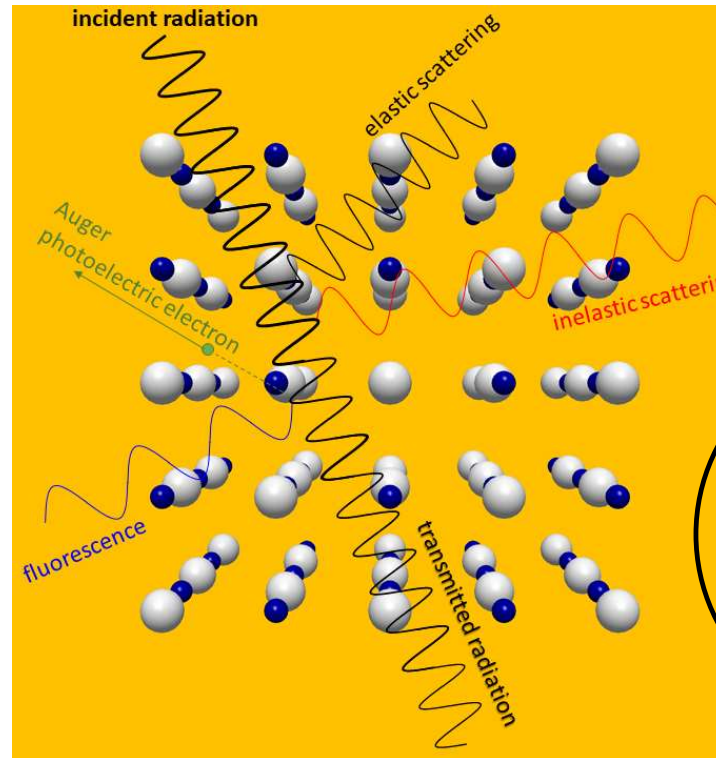
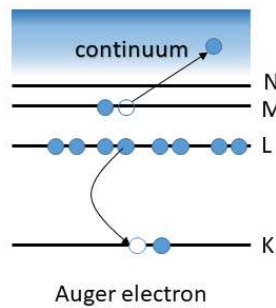
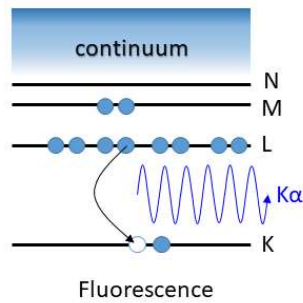
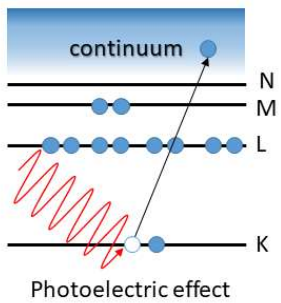


Brewster's Angle



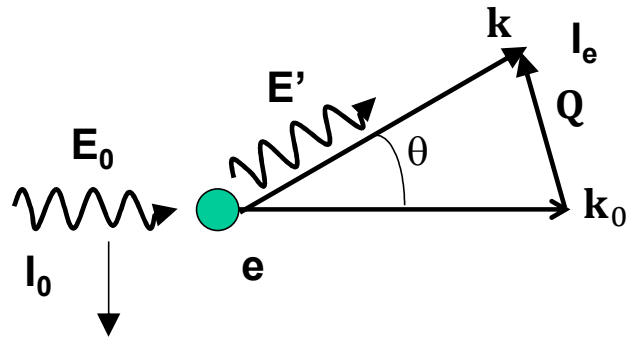


# Interaction of X-rays with matter





# The scattering



X photon, wavelength  $\lambda$ , energy  $E$

$$Q = |\mathbf{Q}| = |\mathbf{k} - \mathbf{k}_0| = \frac{4\pi \sin\theta}{\lambda}$$

Momentum transfer

Thomson scattering

- elastic scattering ( $E_0 - E' = 0$ )  $\longrightarrow$   $I_e \div \frac{1 + \cos^2(\vartheta)}{2} I_0$
- inelastic scattering ( $E_0 - E' \neq 0$ )  $\longrightarrow$   $\Delta\lambda = \frac{h}{m_e c} (1 - \cos\vartheta)$

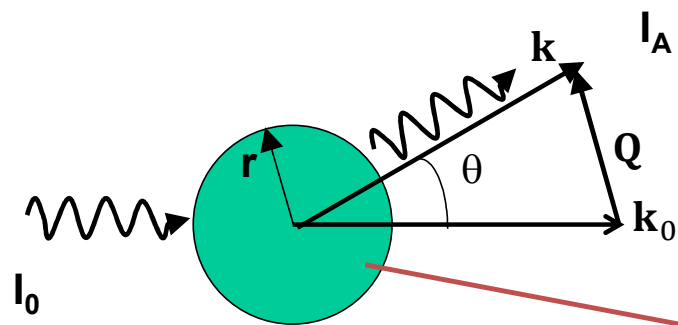
Compton scattering

# The momentum transfer: notations

Symbol	Modulus	Remark
$\mathbf{k}, \mathbf{k}_0$	$2\pi/\lambda$	Wave vectors for scattering and incident directions, respectively
$\mathbf{s}, \mathbf{s}_0$	$1/\lambda$	Wave vectors for scattering and incident directions, respectively
$\mathbf{H} = \mathbf{h} = \mathbf{s} - \mathbf{s}_0 = (\mathbf{k} - \mathbf{k}_0)/2\pi$	$2\sin\vartheta/\lambda$	Scattering vector (Bragg condition)
$\mathbf{S} = \mathbf{s} - \mathbf{s}_0 = (\mathbf{k} - \mathbf{k}_0)/2\pi$	$2\sin\vartheta/\lambda$	Scattering vector (general)
$\mathbf{Q} = \mathbf{q} = \mathbf{k} - \mathbf{k}_0$	$4\pi\sin\vartheta/\lambda$	Scattering vector (typically used for small angle scattering or total scattering)
$\mathbf{K} = \mathbf{k} - \mathbf{k}_0$	$4\pi\sin\vartheta/\lambda$	Scattering vector



# The atomic scattering

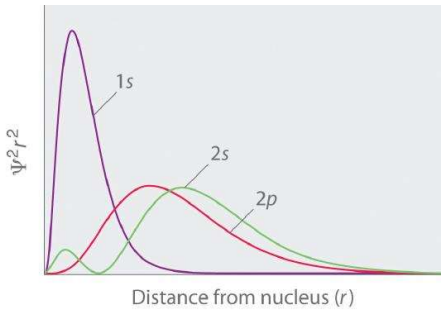


$$I_A \div |f_A|^2 \text{ (atomic form factor)}$$

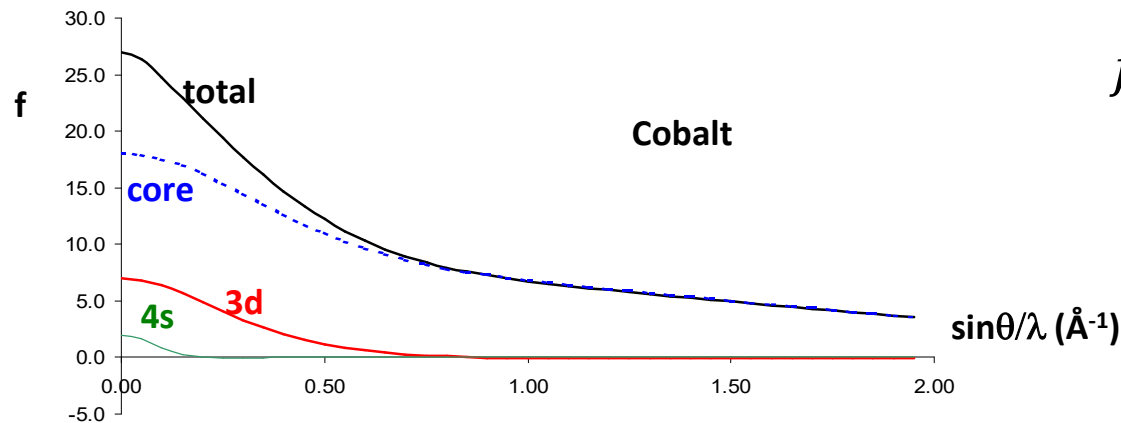
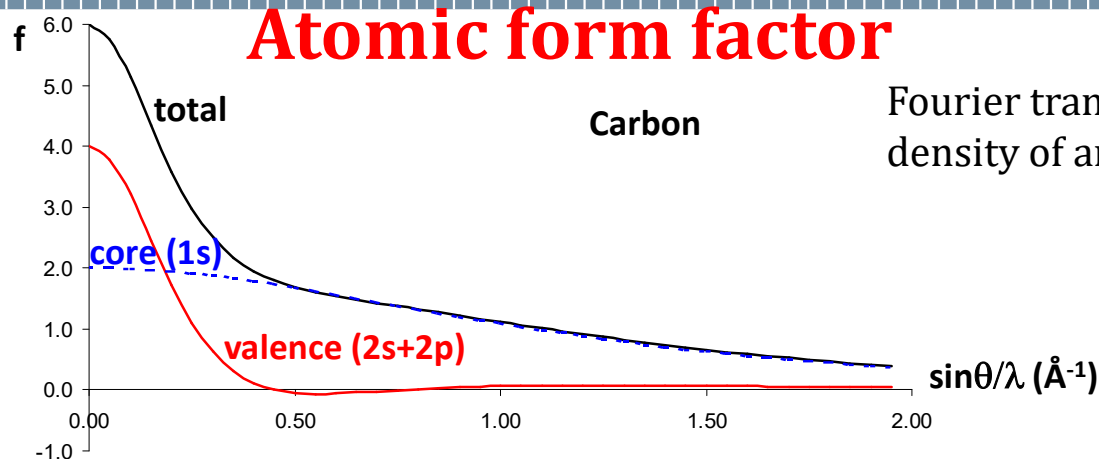
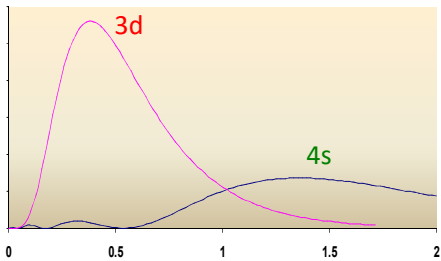
**one atom ( $n$  electrons)**

The **atomic form factor** is the sum of the elastic contribution of all its electrons, taking into account the different shapes of the electron clouds around an atom (core/valence electrons, for example)

# The scattering of one atom



atomic radial electron density



Form factor

$$f_A(Q) = \int_V \rho(\mathbf{r}) e^{i\mathbf{Q}\cdot\mathbf{r}} d\mathbf{r}$$

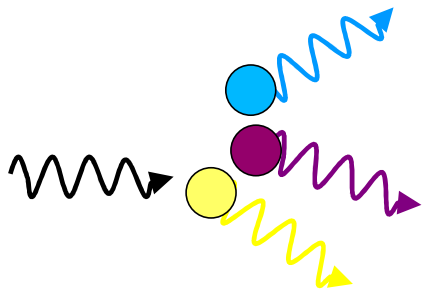
# The scattering by N atoms

## Interference function

$$\psi(\mathbf{s}) = \frac{I(\mathbf{Q})}{F^2(\mathbf{Q})} = \frac{I_N(\mathbf{Q})}{NF^2(\mathbf{Q})}$$

$$I(\mathbf{Q}) = \frac{I_N(\mathbf{Q})}{N}$$

Unit scattering power

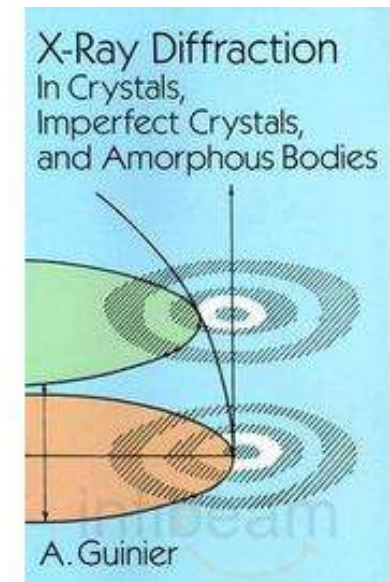


The waves generated by each atom produce interference because they are within the **coherence domain**.

The interference only depends on the relative position of the atoms (**atomic distances**) and on the diffusive power of each atom (**atomic form factors**).

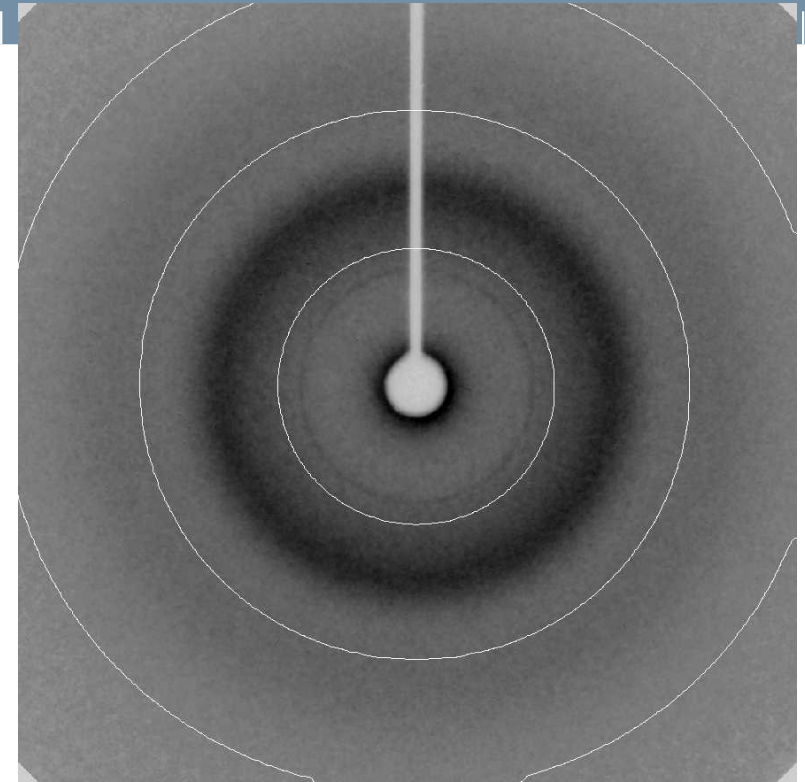
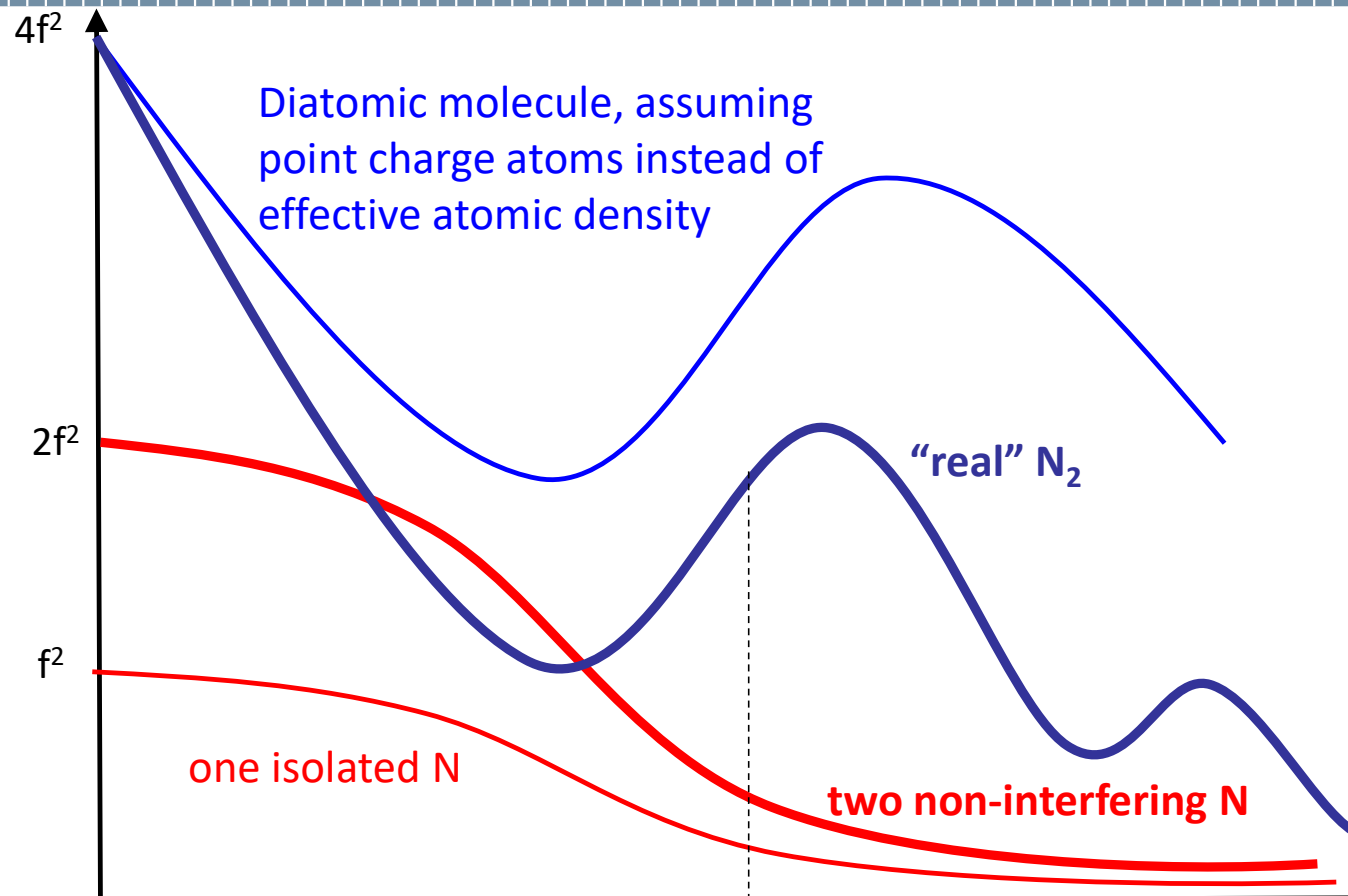
If all the diffusers (atoms) are randomly distributed **the interference function**  $\psi(\mathbf{s})$  is 1.0 (average between 0.0 in case of destructive interference and 2.0 in case of constructive interference). This in case of "ideal amorphous".

This determines the **phase**, which is lost when measuring  $I_N(\mathbf{Q})$



$$I_1(\mathbf{Q}) = |A(\mathbf{Q})|^2$$

# The scattering by N atoms

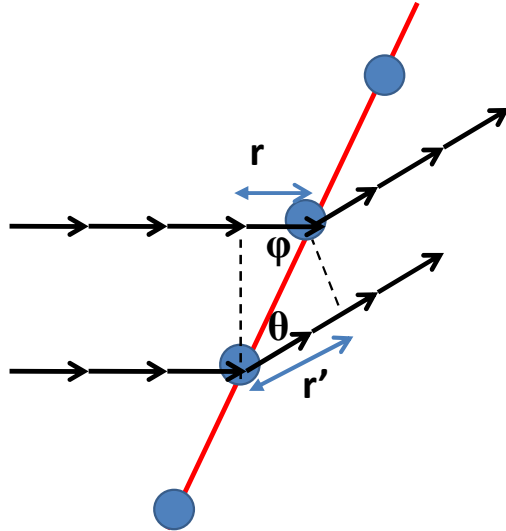




# Diffraction from crystals

## Infinite Periodic crystals

von Laue described the X-ray diffraction of crystals by modelling a crystal as a combination of three one-dimensional atomic nets.



In order to avoid destructive interference it is necessary that all pairs of atoms have constructive interference.

This means that the waves diffused by any two atoms have a phase difference of  $0 \pm n\lambda$

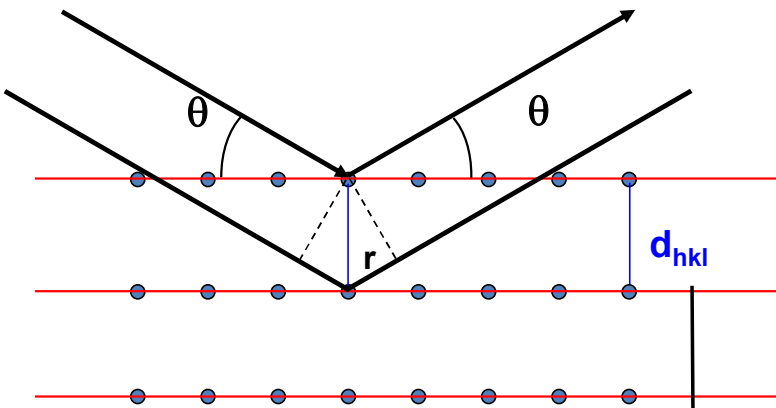
$$r' - r = a \cos(\theta) - a \cos(\varphi) = h \lambda$$

$$r' - r = a \cdot (S - S_0) = h \lambda$$

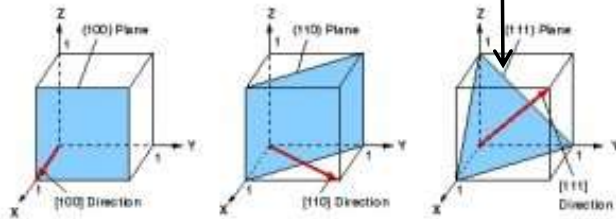
Extending in three dimensions, diffraction occurs when the difference between scattered and incident wave vectors satisfies the condition along each of the three directions, thus with a “triplet” of integers ( $h, k, l$ )

# Diffraction from crystals

A different viewpoint of the same phenomenon is given by Bragg. Diffraction is regarded as a simple reflection of the photon on a given surface of the crystal. However, we must consider that the X-ray beam "penetrates" the surface and therefore contribution from inner layers must also be accounted for.

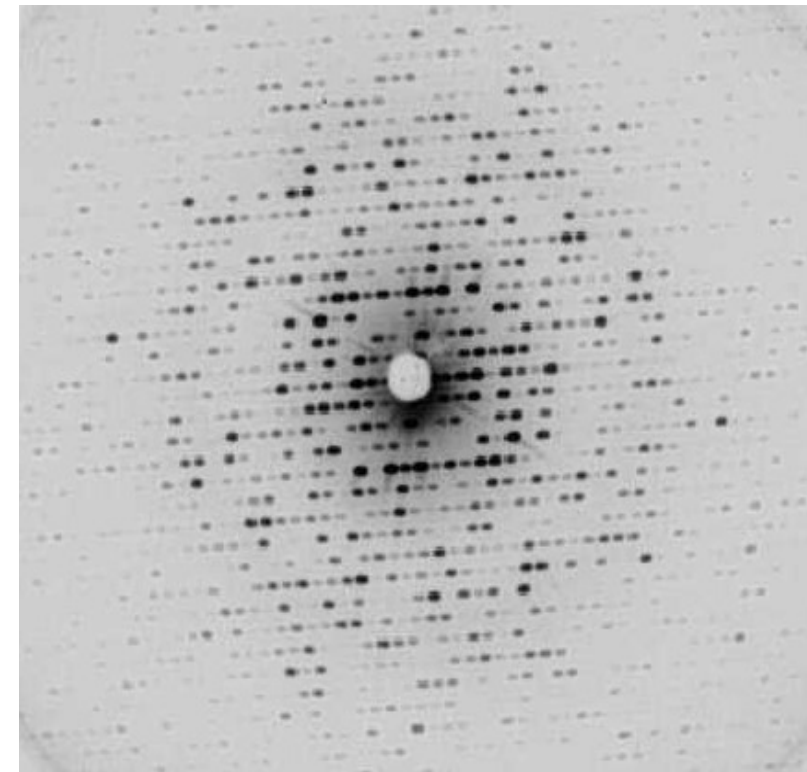


$$r + r = d_{hkl} \sin(\theta) + d_{hkl} \sin(\theta) = n \lambda$$

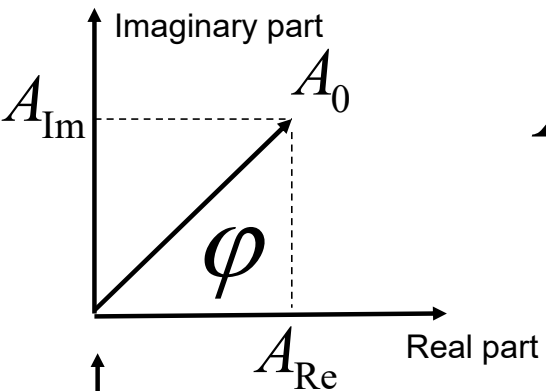


Miller indexes

$$n \lambda = 2 d_{hkl} \sin \theta$$



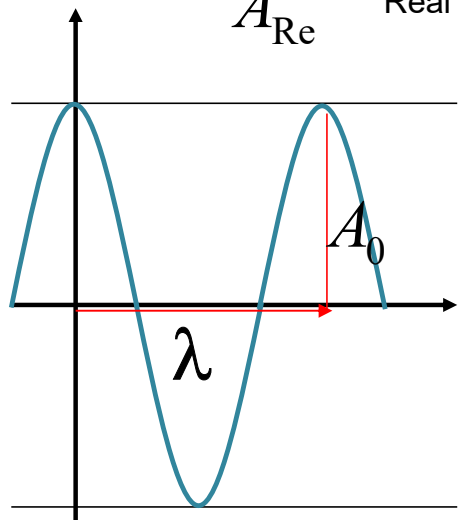
# Diffraction from crystals



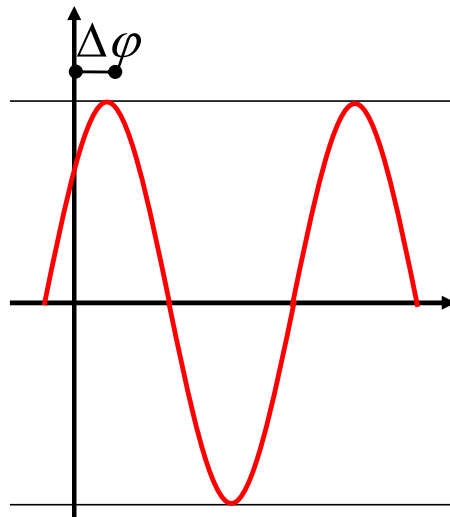
$$A = A_0 e^{i\varphi}$$

amplitude

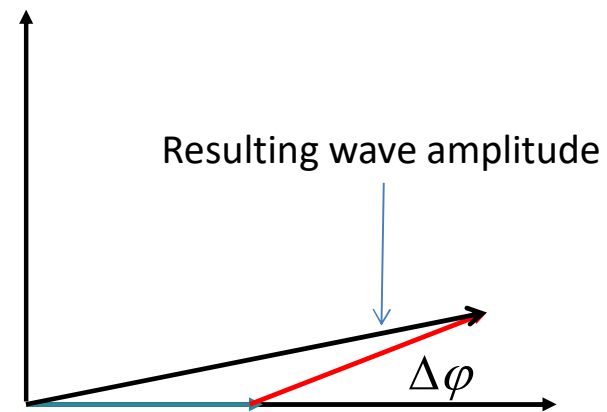
Phase  
(distance from the origin)



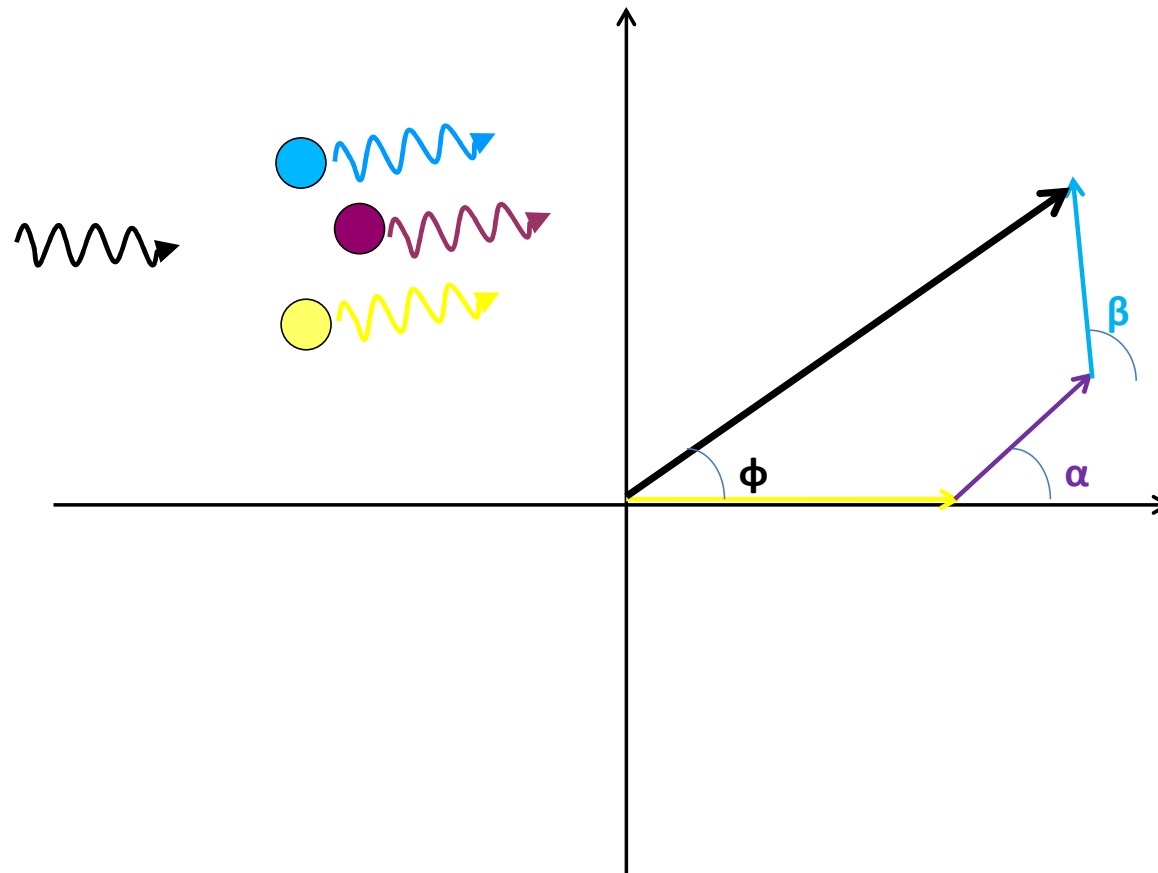
+



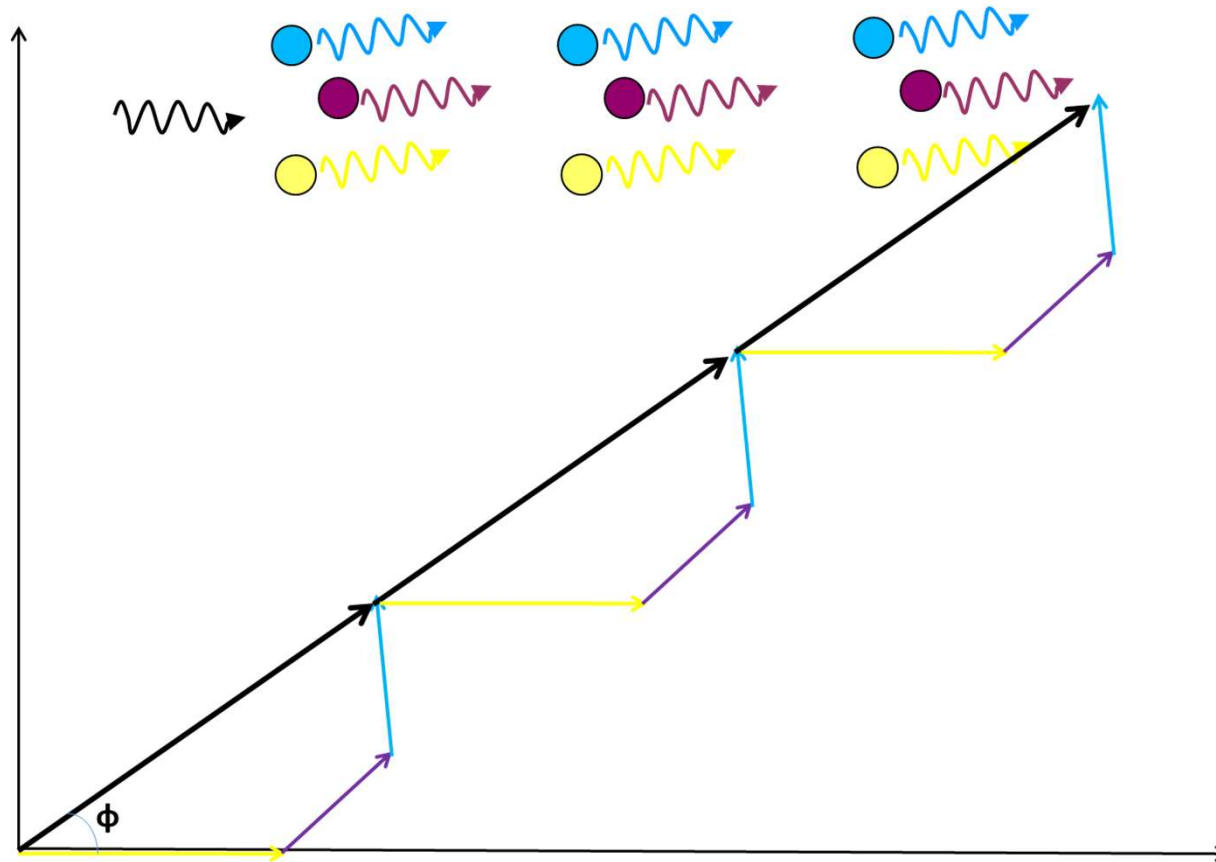
=



# Polyatomic system



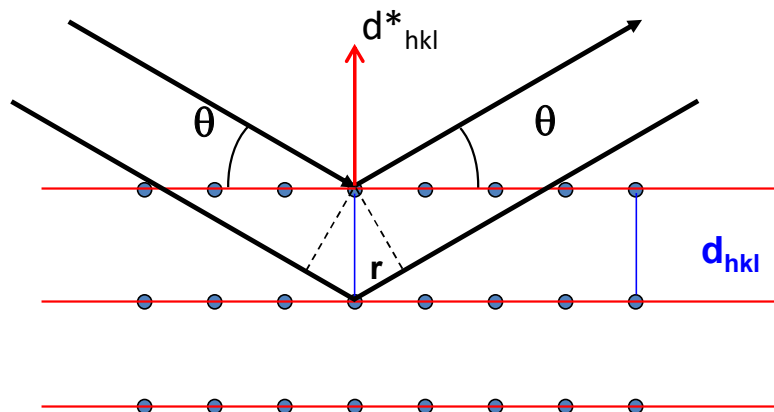
# Periodic system



# The reciprocal space

We need a simplified way to handle planes in order to describe diffraction phenomena from crystals (having in mind Bragg formalism) and predict diffraction conditions

A family of planes can be simply represented by its normal



A reciprocal lattice vector  $d^*$  is perpendicular to a plane and its length is inversely proportional to  $d_{hkl}$  spacing

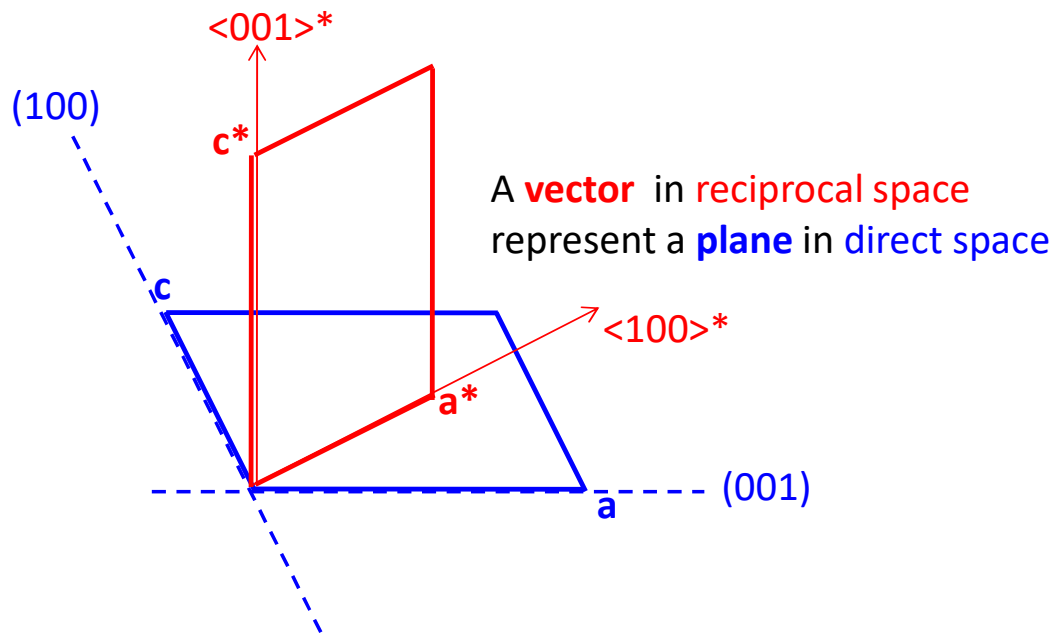
$$n \lambda = 2 d_{hkl} \sin \theta$$



$$n \lambda / 2 d_{hkl} = \sin \theta$$



# The reciprocal space

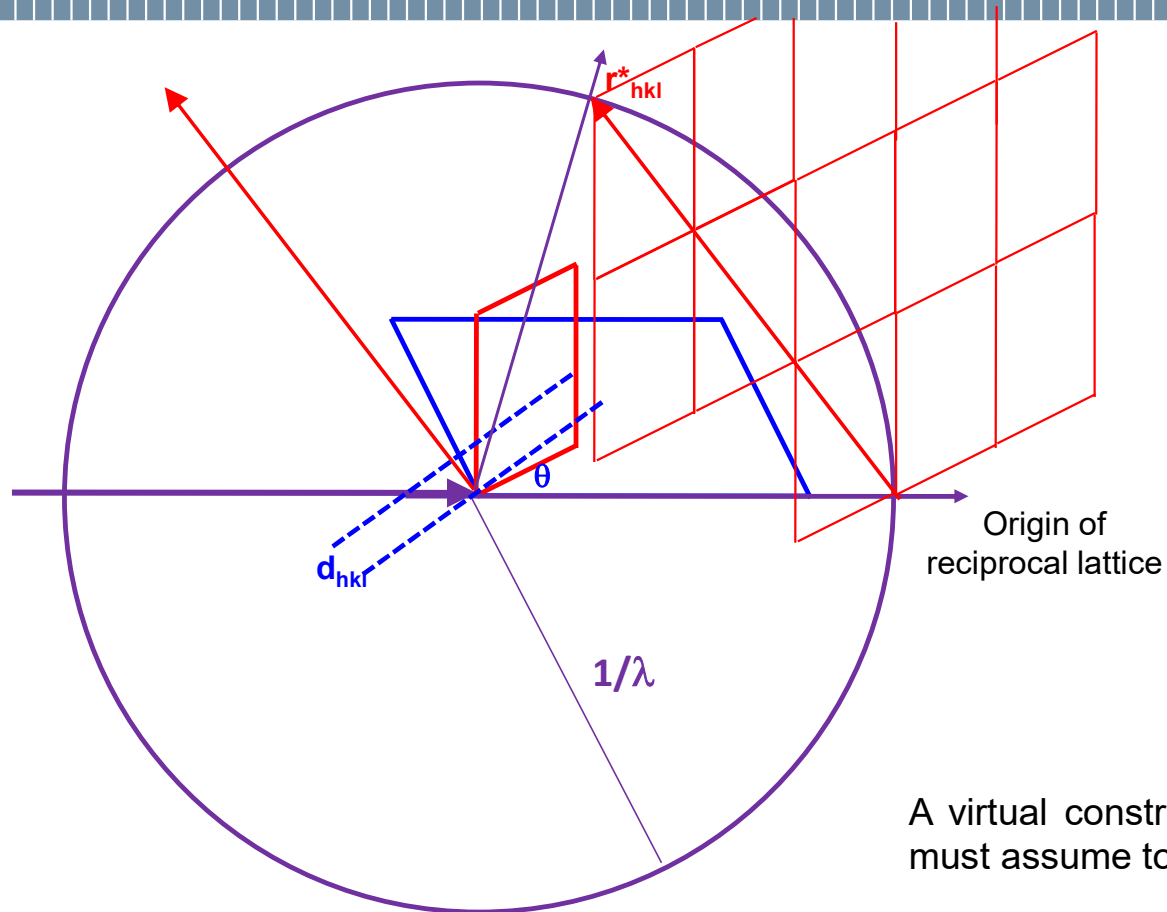


Conditions:

$$\begin{array}{lll}
 \mathbf{a} \cdot \mathbf{a}^* = 1.0 & \mathbf{b} \cdot \mathbf{a}^* = 0.0 & \mathbf{c} \cdot \mathbf{a}^* = 0.0 \\
 \mathbf{a} \cdot \mathbf{b}^* = 0.0 & \mathbf{b} \cdot \mathbf{b}^* = 1.0 & \mathbf{c} \cdot \mathbf{b}^* = 0.0 \\
 \mathbf{a} \cdot \mathbf{c}^* = 0.0 & \mathbf{b} \cdot \mathbf{c}^* = 0.0 & \mathbf{c} \cdot \mathbf{c}^* = 1.0
 \end{array}$$

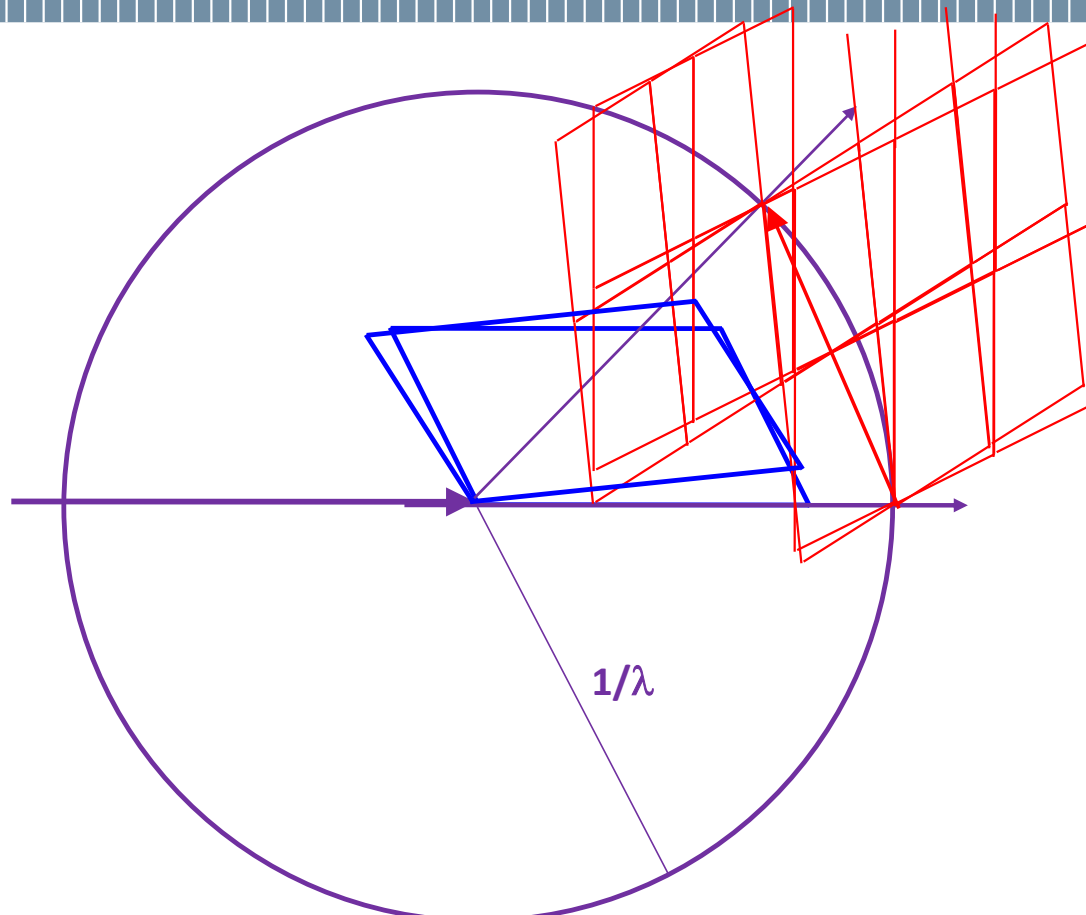
It follows that:  $V = 1/V^*$

# The Ewald sphere

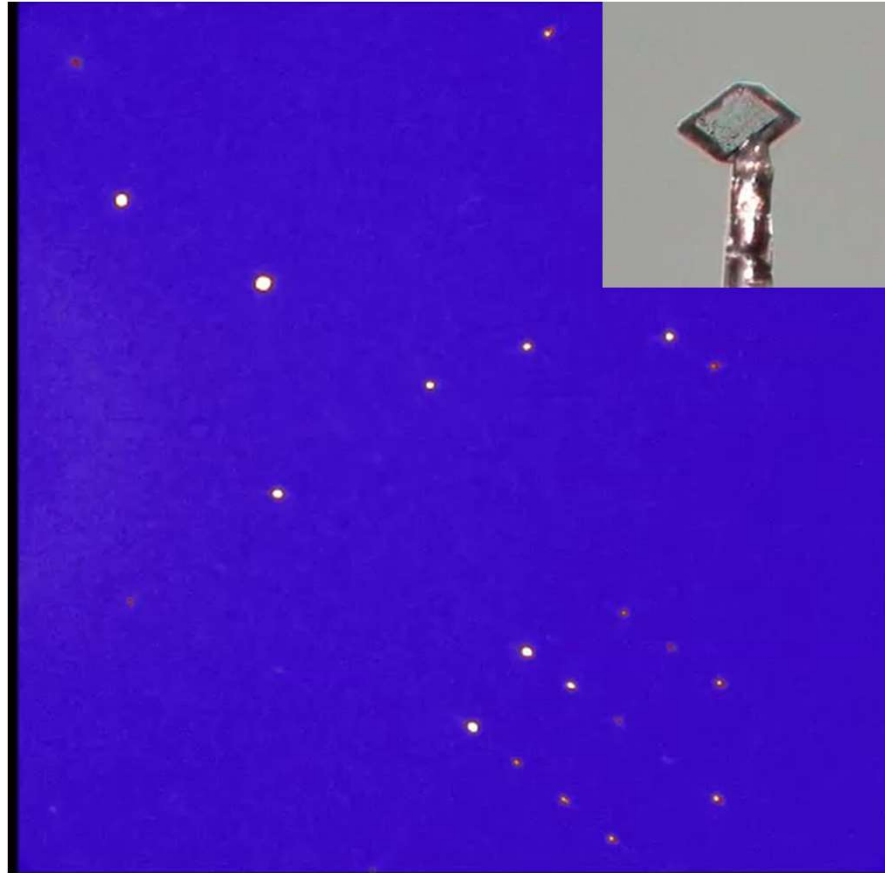


A virtual construction to predict the necessary orientation a crystal must assume to give diffraction from a given family of planes

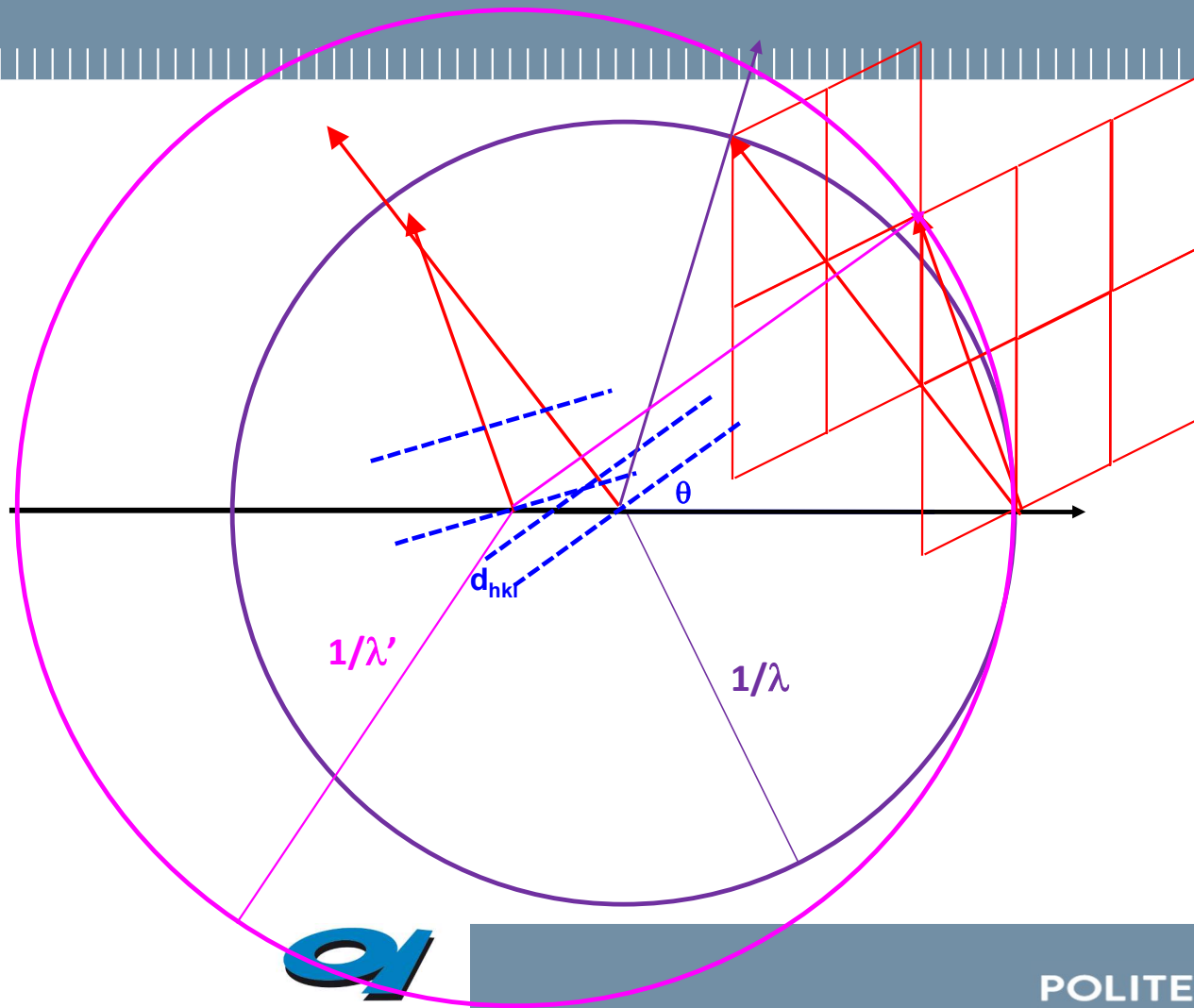
# The Ewald sphere: Bragg technique



# The Ewald sphere: Bragg technique

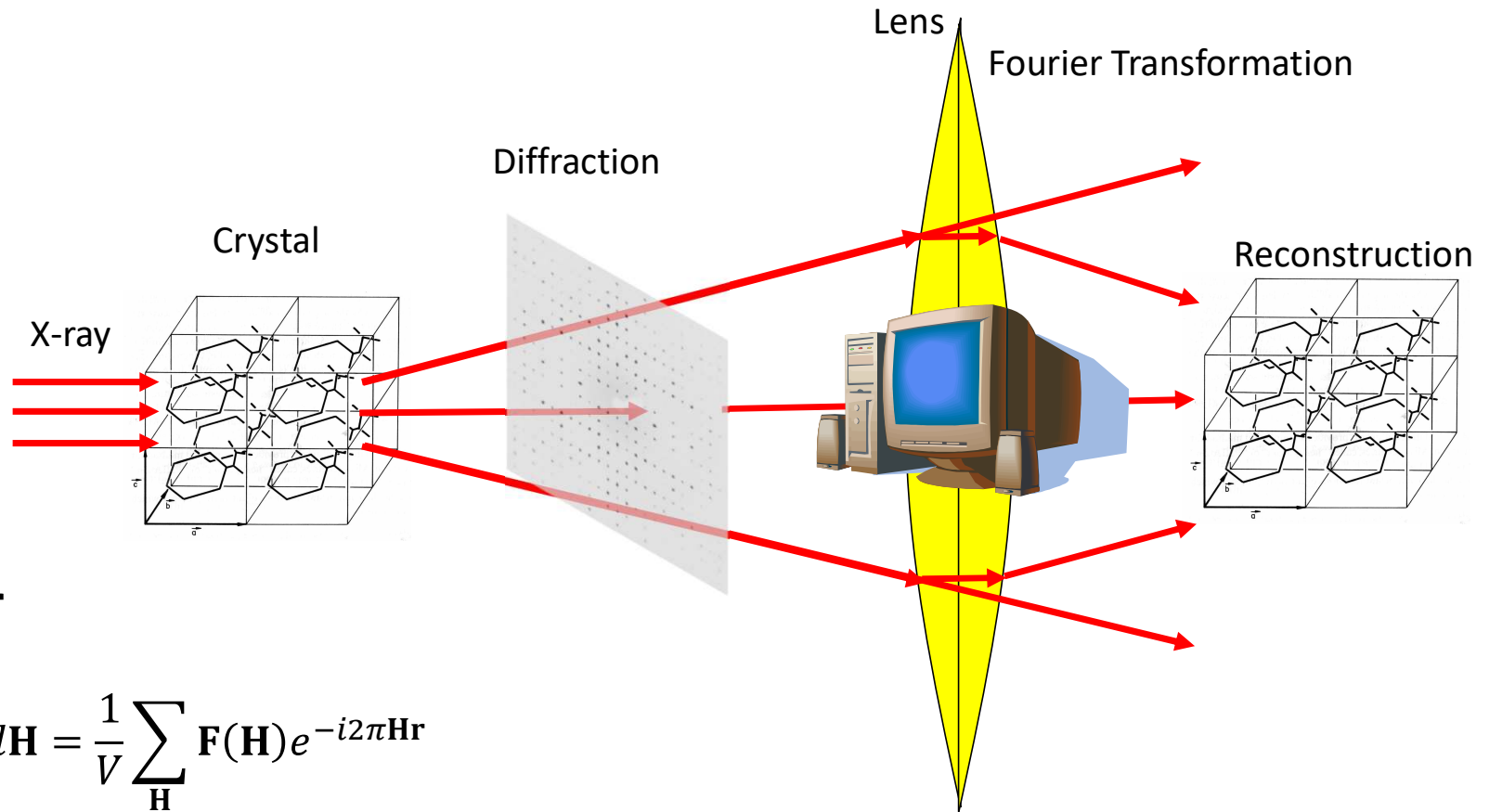


# The Ewald sphere: Laue technique



# The "lens"

$$|\mathbf{H}| = H = \frac{2\sin\theta}{\lambda} = \frac{Q}{2\pi}$$



$$\mathbf{F}(\mathbf{H}) = \int \rho(\mathbf{r}) e^{i2\pi\mathbf{H}\mathbf{r}} d\mathbf{r}$$

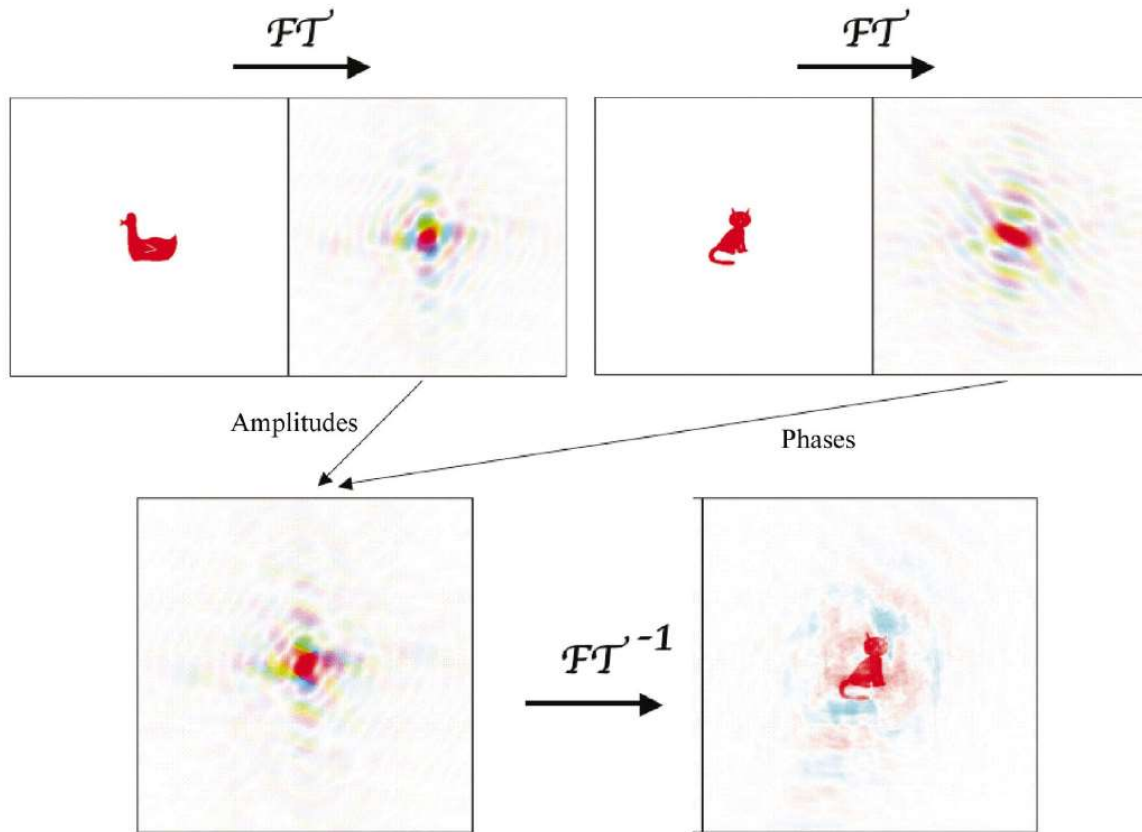
$$\rho(\mathbf{r}) = \int \mathbf{F}(\mathbf{H}) e^{-i2\pi\mathbf{H}\mathbf{r}} d\mathbf{H} = \frac{1}{V} \sum_{\mathbf{H}} \mathbf{F}(\mathbf{H}) e^{-i2\pi\mathbf{H}\mathbf{r}}$$



Elettra Sincrotrone Trieste

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# The phase problem

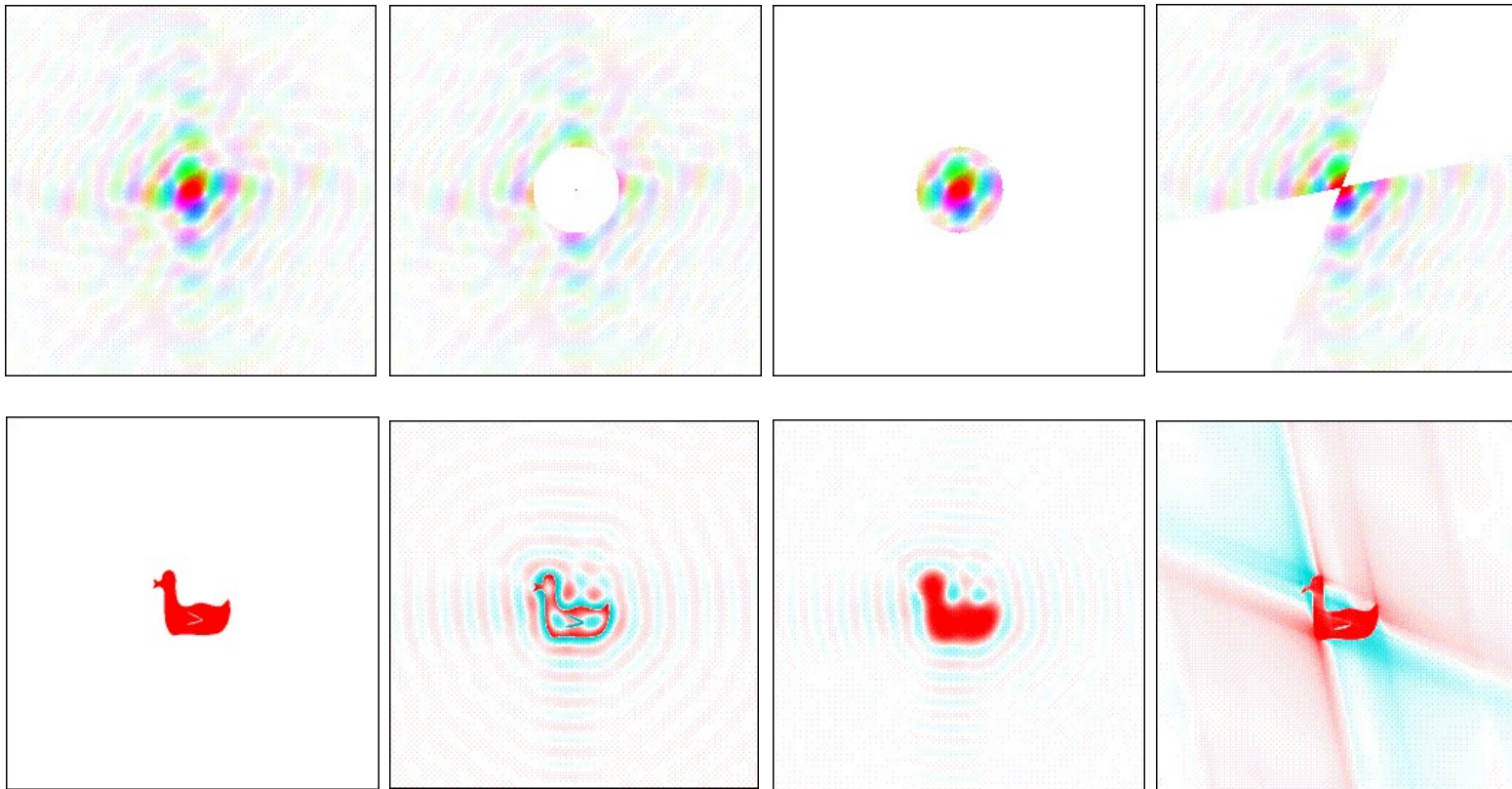


Phasing the reflections is the main problem of a crystal structure solution

$$\rho(\mathbf{r}) = \frac{1}{V} \sum_{\mathbf{H}} |\mathbf{F}(\mathbf{H})| e^{2\pi i \phi_{\mathbf{H}}} e^{-i2\pi \mathbf{H} \mathbf{r}}$$



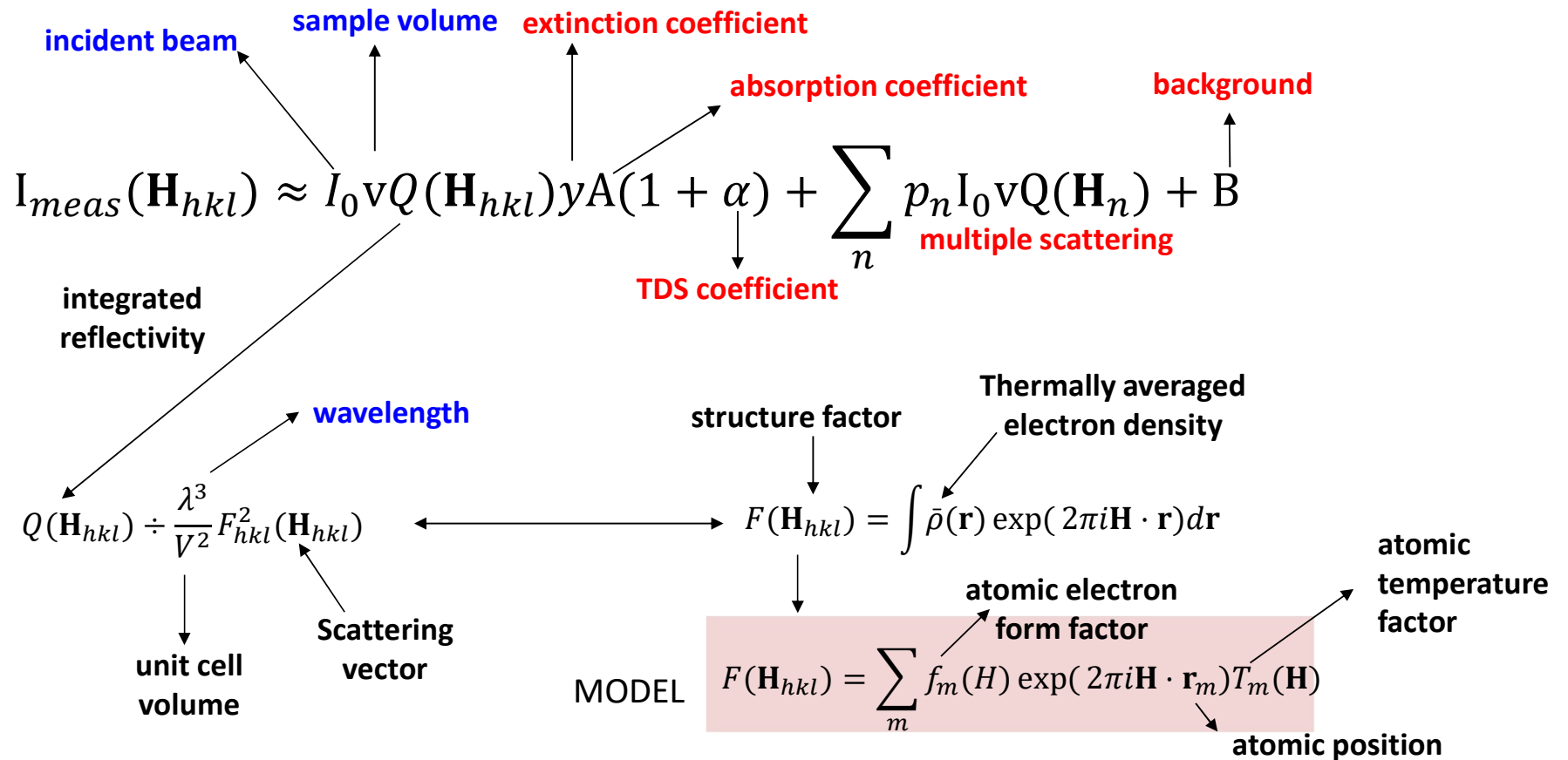
# The truncation problem



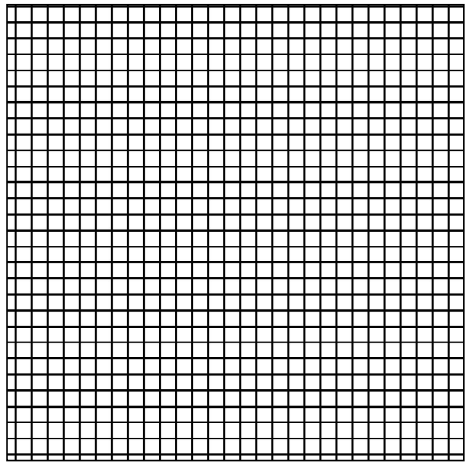
The series termination and data incompleteness is also a problem

$$\rho(\mathbf{r}) = \frac{1}{V} \sum_{\mathbf{H}} |\mathbf{F}(\mathbf{H})| e^{2\pi i \varphi_{\mathbf{H}}} e^{-i2\pi \mathbf{H} \cdot \mathbf{r}}$$

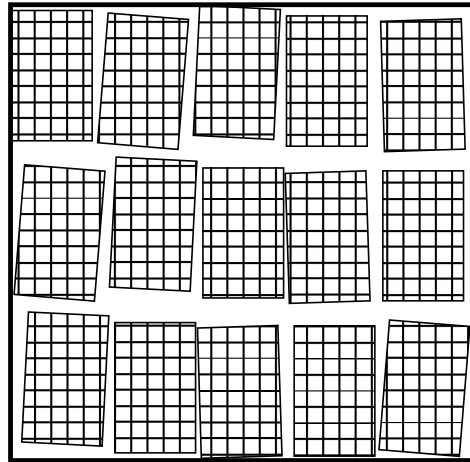
# The diffracted intensity



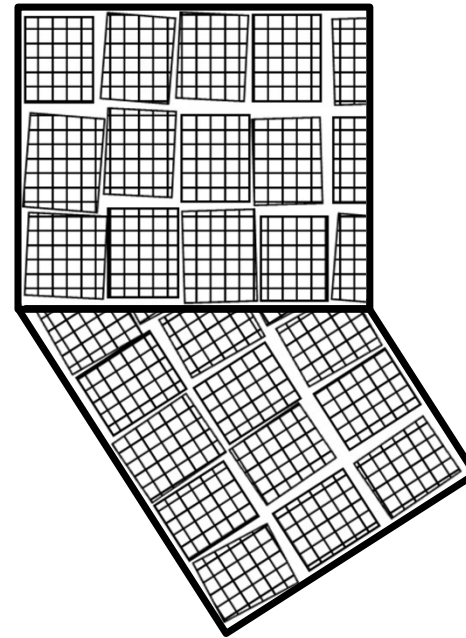
# Ideal, real, twin and powder crystals



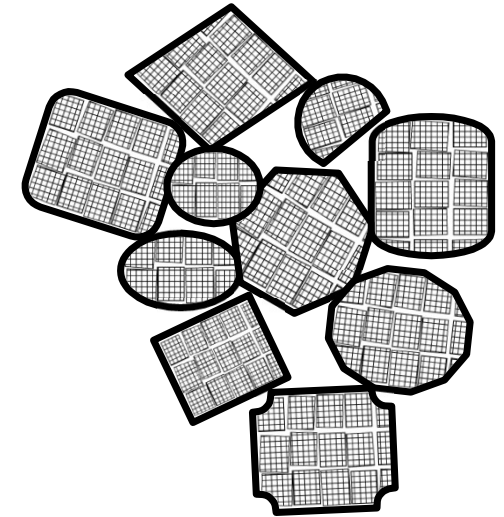
Ideal single Crystal  
(**perfect**, without boundaries)



**Real (imperfect) single crystal:**  
composed of domains  
(approx. radius  $10^{-4}$  cm);  
The **ideally imperfect** crystal  
has a statistically distributed  
mosaicity

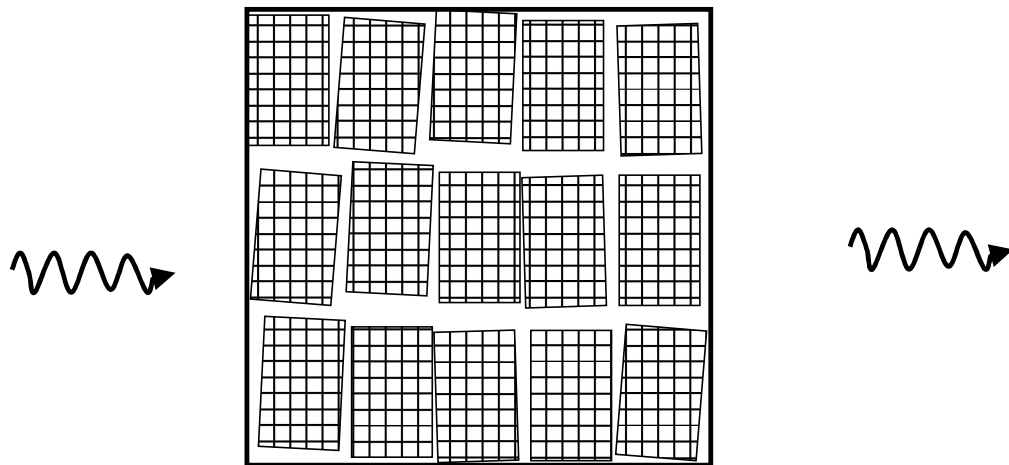


The **twinned** crystal consist of  
more than one real crystals fused  
in a single particle  
(intergrowth or contact twins are  
possible)



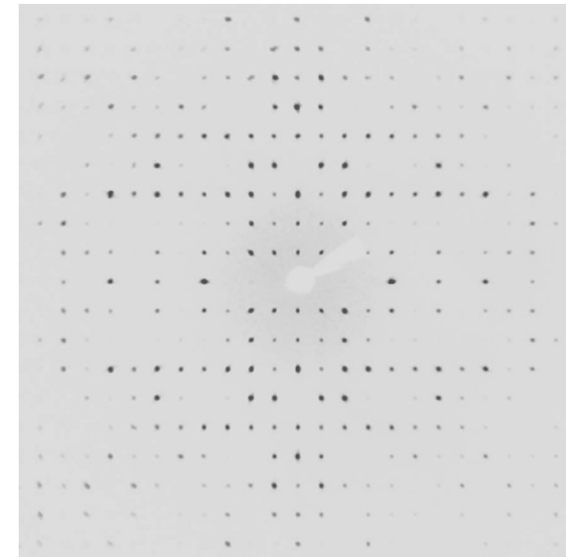
A **powder sample**  
consists of several (small)  
real crystals (separated  
from each other)

# Diffraction from real single crystals

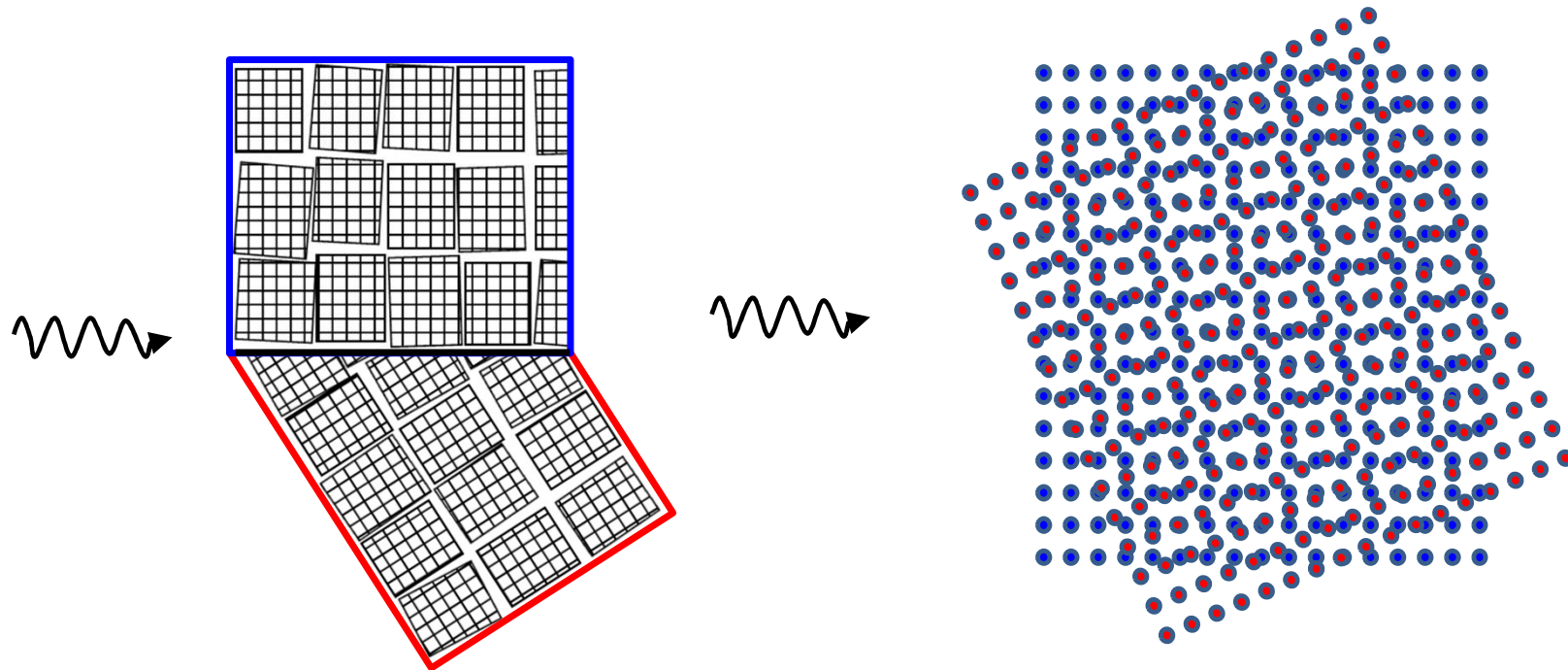


**Single crystal**

The *mosaicity* causes the broadening of diffraction spots

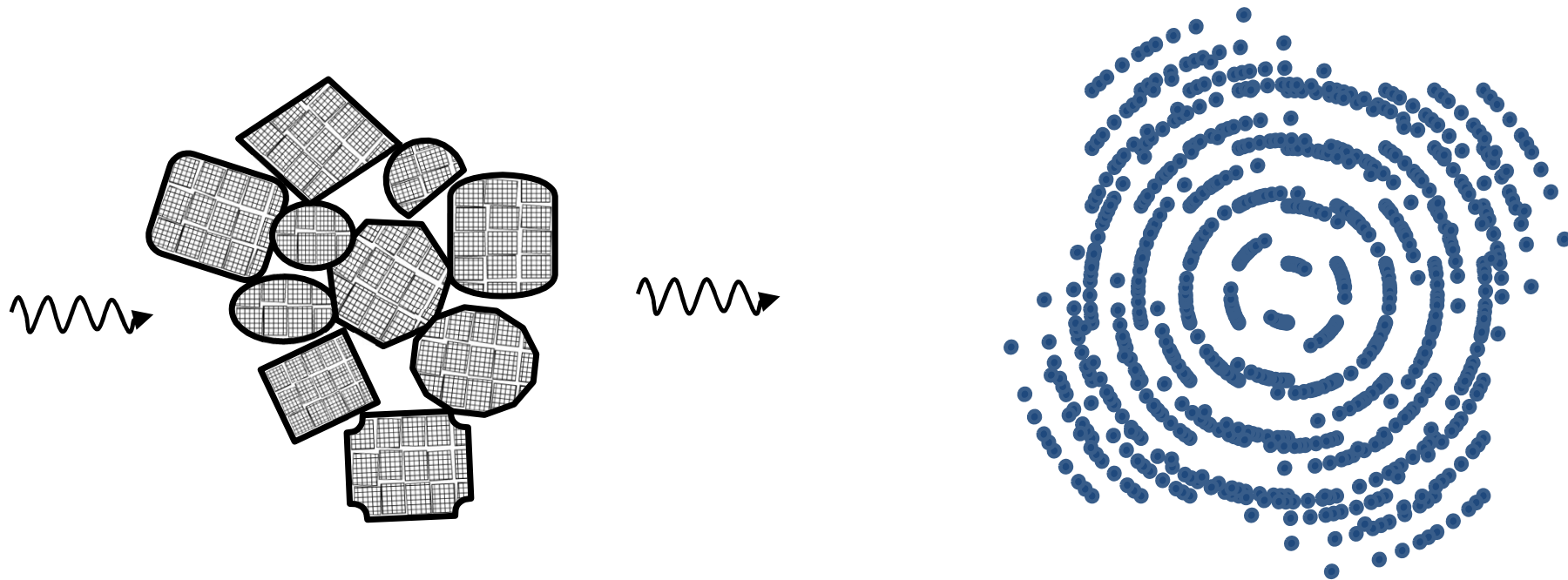


# Diffraction from twin crystals

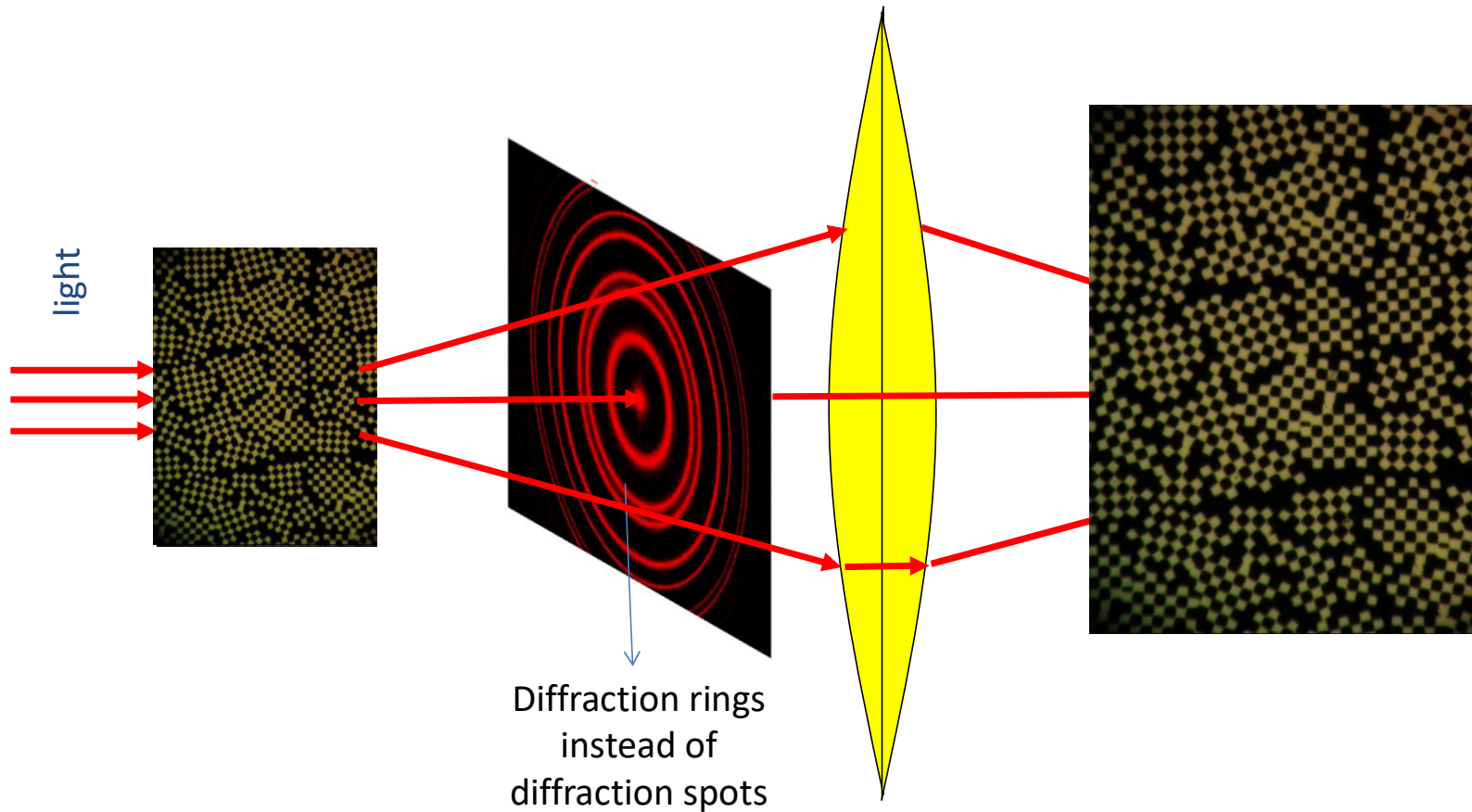




# Diffraction from powders

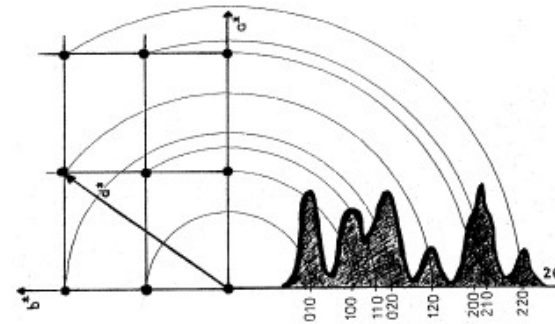
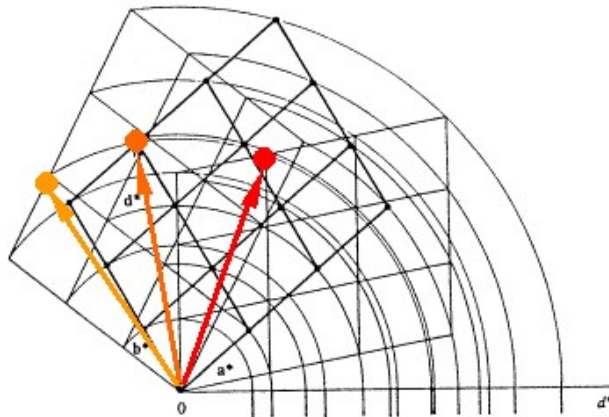
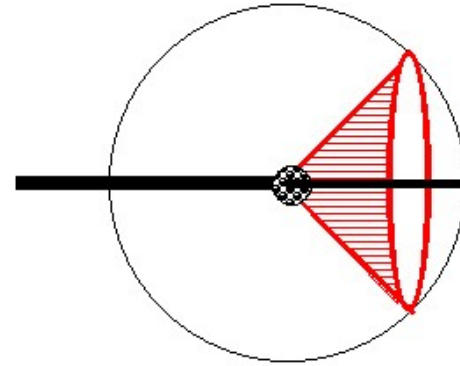
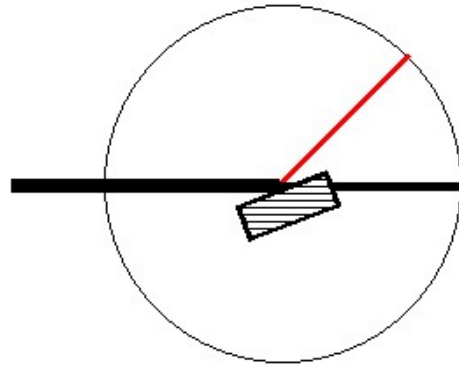


# Diffraction from powders

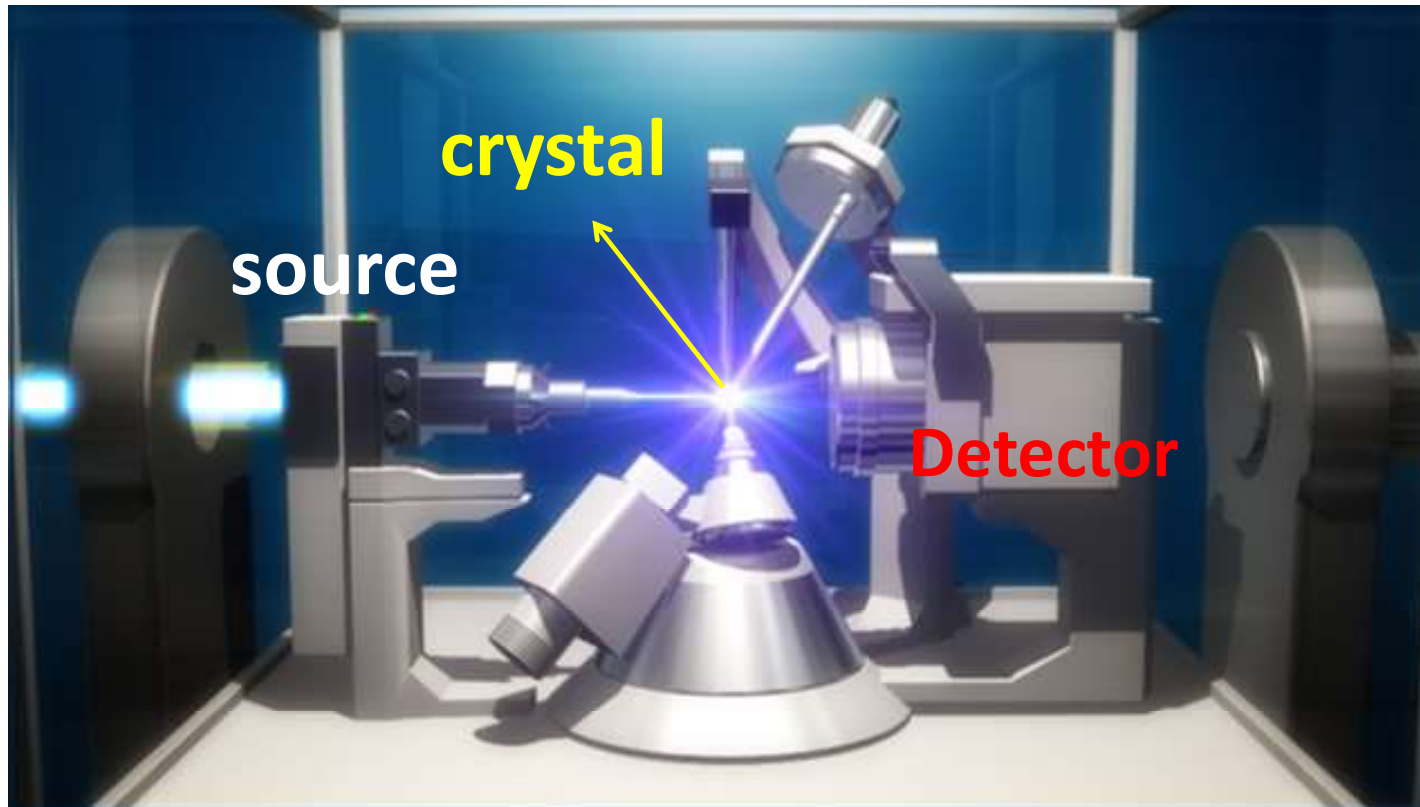




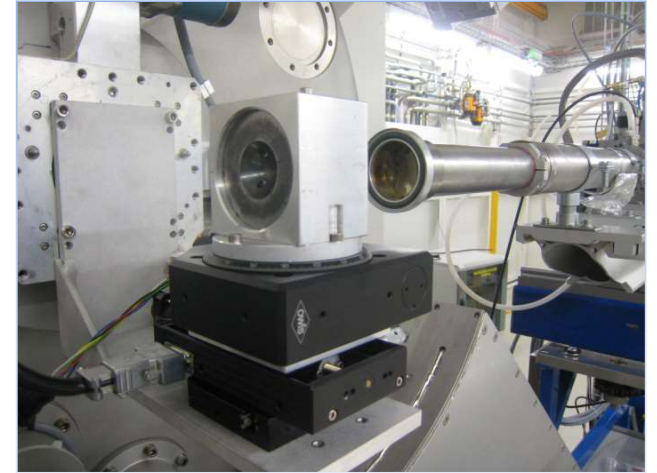
# Diffraction from powders



# Laboratory single crystal diffractometer



# Large scale source diffractometer

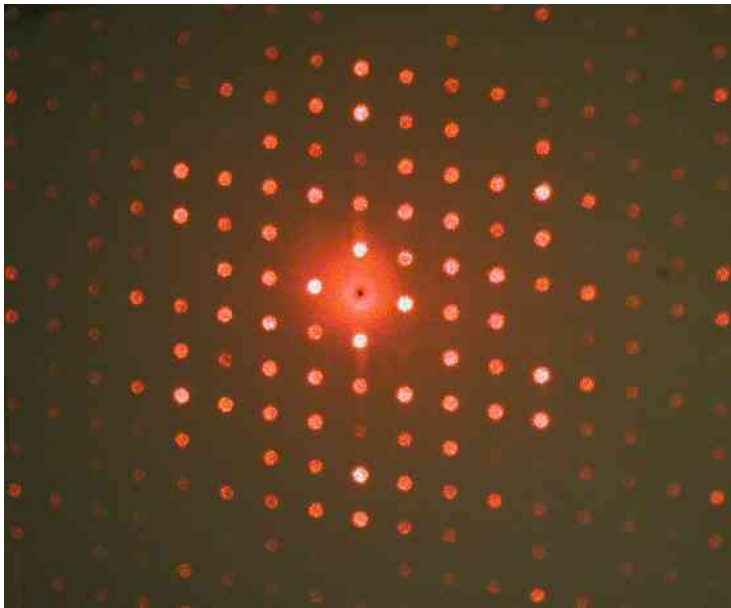


**Brighter sources**  
**Tunable radiation energies**  
**Micro-focusing**

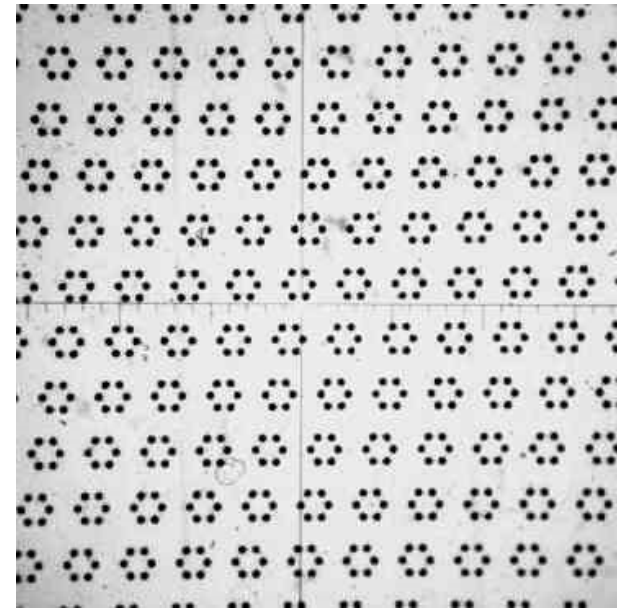
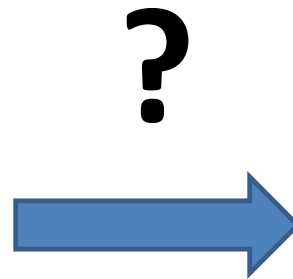


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# How to solve a crystal structure?

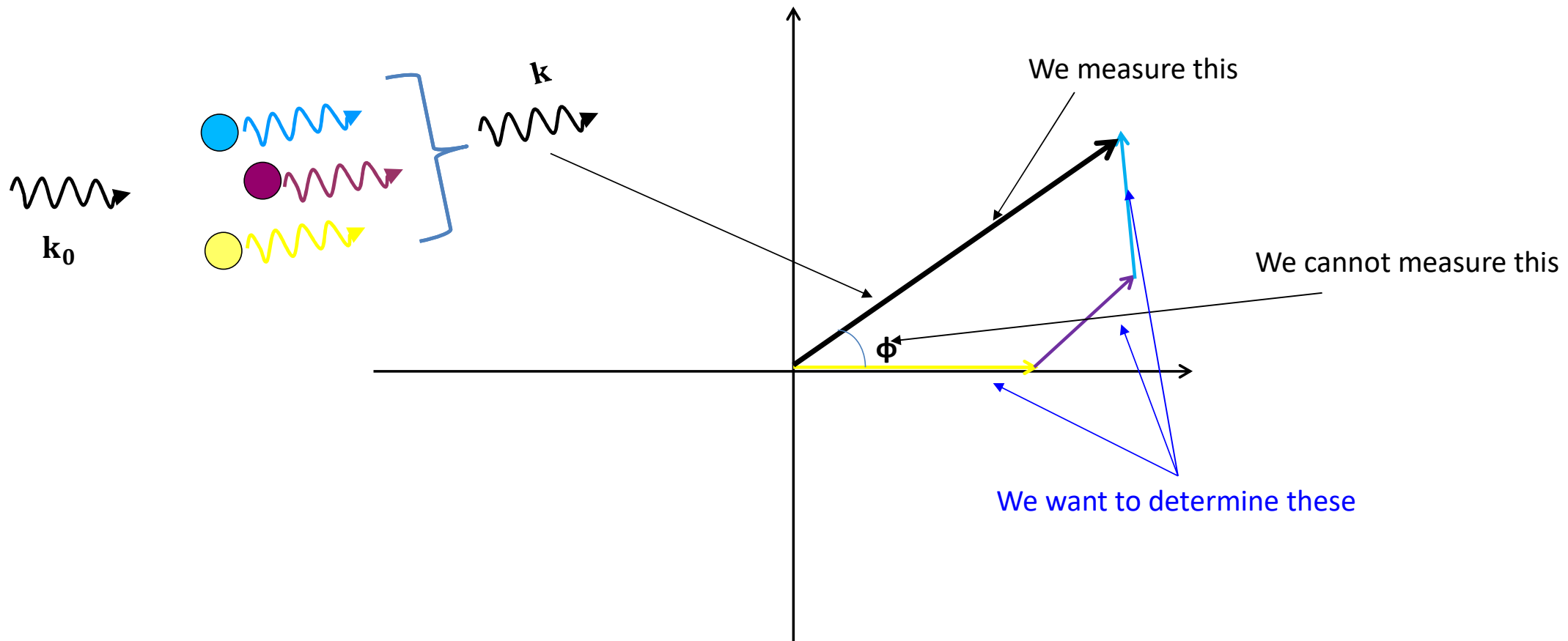


We measure this



We want to obtain this

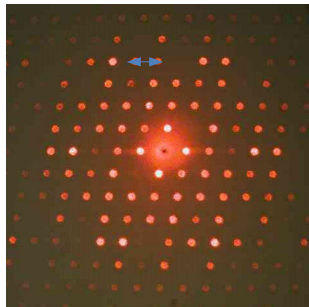
# How to solve a crystal structure?



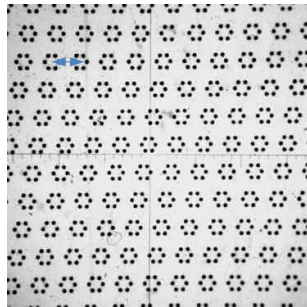


# How to solve a crystal structure?

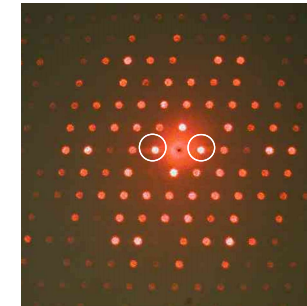
Screening of crystals and analysis of linear optical properties



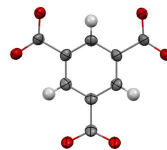
Collection of few diffraction images to detect lattice parameters



Data Collection of a full set of reflection intensities; Laue class; Space group determination



(least squares) Refinement of a structural model



Structure solution: Patterson or Direct methods (or other methods)

$$\rho(\mathbf{r}) = \frac{1}{V} \sum_{\mathbf{H}} |\mathbf{F}(\mathbf{H})| e^{2\pi i \varphi_{\mathbf{H}}} e^{-i2\pi \mathbf{H} \cdot \mathbf{r}}$$

# The structural model

Using structure solution methods like *Direct methods* or *Patterson method* combined with *Fourier synthesis* we are able to get an approximate model for a crystal structure.

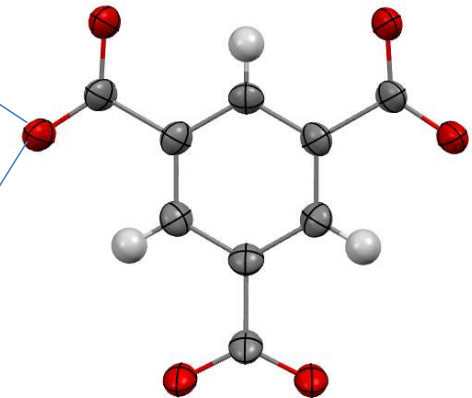
This model consists of the fractional coordinates  $x, y, z$  of each atom in the unit cell, at which its spherical electron density distribution is centered, and a description of the movement of the atom about its mean position as a harmonic oscillator characterized by the displacement parameters  $u_{ij}$ .

Based on this model we may calculate the structure factors  $F_{model}(\mathbf{H})$  associated to each scattering vector  $\mathbf{H}$  as

$$F(\mathbf{H}) = \sum_m f_m(H) \exp(2\pi i \mathbf{H} \cdot \mathbf{r}_m) T_m(\mathbf{H})$$

$x, y, z$

$u_{11}$	$u_{12}$	$u_{13}$
$u_{21}$	$u_{22}$	$u_{23}$
$u_{31}$	$u_{32}$	$u_{33}$

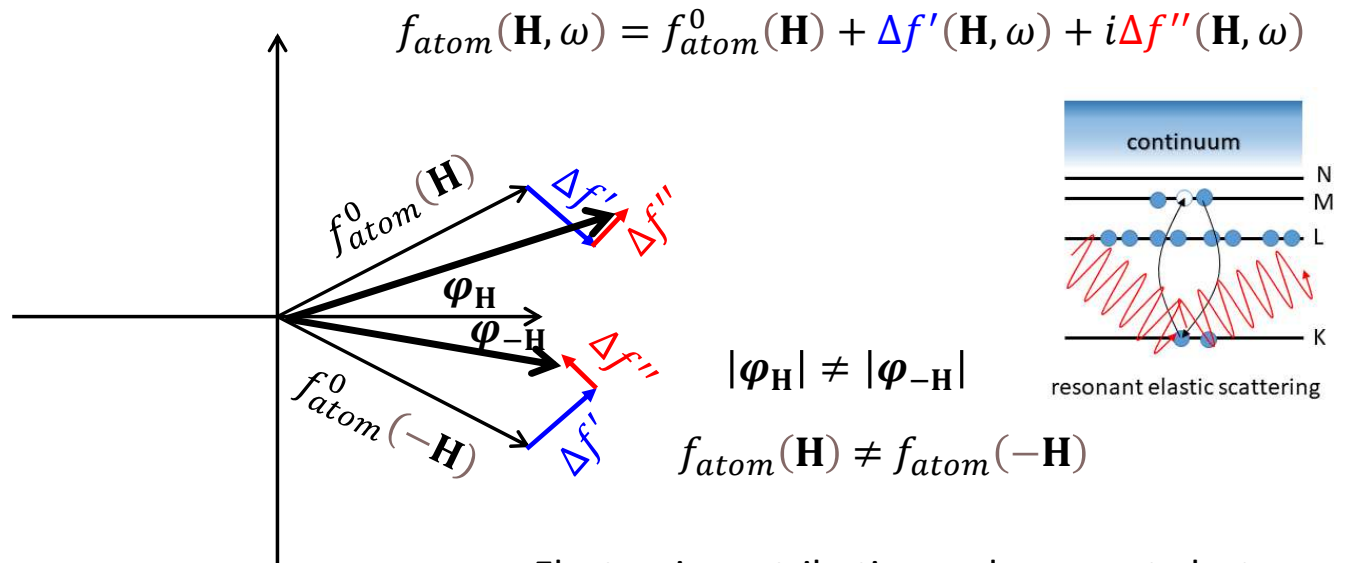
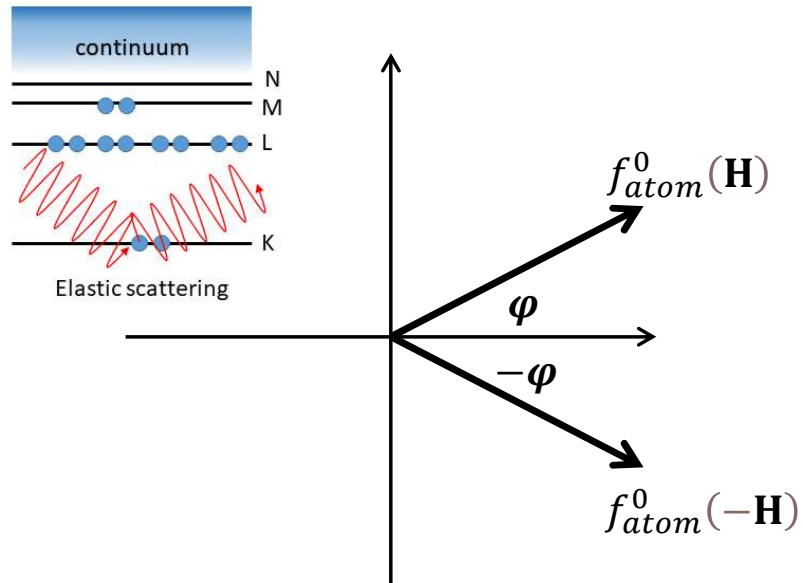




# Friedel's law and its violation

$$|F(\mathbf{H})|^2 = F(\mathbf{H})F^*(\mathbf{H}) = \left( \int \bar{\rho}(\mathbf{r}) \exp(2\pi i \mathbf{H} \cdot \mathbf{r}) d\mathbf{r} \right) \left( \int \bar{\rho}(\mathbf{r}) \exp(-2\pi i \mathbf{H} \cdot \mathbf{r}) d\mathbf{r} \right) = |F(-\mathbf{H})|^2$$

Friedel, G. (1913). *C.R. Acad. Sci. Paris*, **157**, 1533-1536



Without considering the frequency of the X-rays  
Friedel's law is valid

Electronic contribution and resonant electron  
Friedel's law is not valid

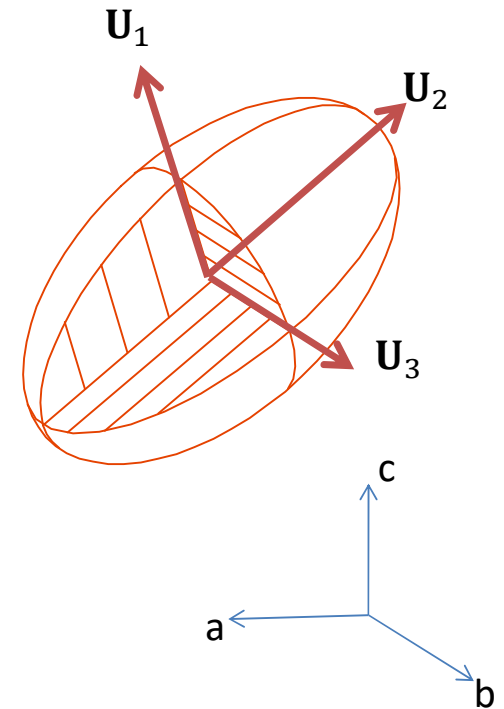
# The structural model

The term  $T_i$  accounts for the fact that the scattering of an atom at rest is affected by its thermally induced motion about its mean position.

For an anisotropic motion  $T_i$  becomes more complicated

$$T_m(\mathbf{H}) = e^{-2\pi^2 \mathbf{H}^T \mathbf{u}_m \mathbf{H}}$$

The so called *anisotropic displacement parameters*  $u_{ij}$  are the elements of a symmetrical tensor, i.e. a quantity which is defined by the length and direction of three mutually perpendicular vectors. It's usual graphical representation is a so called vibration or displacement ellipsoid with the three principal axes  $U_1$ ,  $U_2$  and  $U_3$ .



# The structural model: refinement

The model coming out from structure solution, as already mentioned, is approximate. How could we improve it?

In crystallography this model is improved by the so-called least squares technique.

We may calculate from our approximate model the intensities  $I_{model}$

$$I_{model}(\mathbf{H}) = \frac{1}{k} (F_{model}(\mathbf{H}))^2$$

The scale factor  $k$  is needed to scale our model intensities to the measured intensities which depend on many things as e.g. the volume of the crystal.

The quantity which we minimize in least squares is  $S$ , the sum over all weighted squared deviations between  $I_{obs}$  and  $I_{model}$

$$S = \sum_{\mathbf{H}} w(\mathbf{H}) \left[ I_{obs}(\mathbf{H}) - \frac{1}{k} |F_{model}(\mathbf{H})|^2 \right]^2 \quad w(\mathbf{H}) = \frac{1}{\sigma^2(I(\mathbf{H}))}$$

# The structural model: refinement

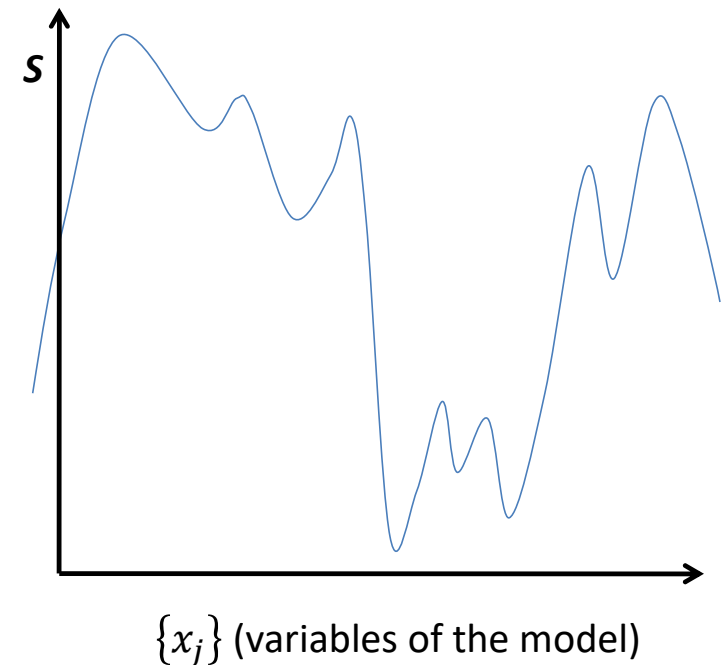
The function  $S(\text{model parameters})$  is a complicated hyper surface in  $n$ -dimensional space, where  $n = \text{number of parameters}$ . It has an absolute minimum, which corresponds to the best solution, and many relative minima. If our structure model is far away from the correct solution, our shifts in the parameters will be big and thus the linear approximation will not be valid anymore.

One possible consequence of this is that we may end up in a false relative minimum. We somehow have to find a better model.

Another possible consequence is that our refinement never goes to a minimum but keeps jumping around in hyperspace, possibly with increasing parameter shifts: The refinement does not converge or even explodes.

In such a situation it may help to damp the parameter shifts

As shifts will be small for high damping we have to calculate much more least squares cycles to eventually reach convergence.



# Model quality measurement

The goodness of fit (GOF or GOOF) is the variance of an observation of unit weight

$$G.o.F. = \sqrt{\frac{\sum_{\mathbf{H}} w_{\mathbf{H}} (F_{obs}^2 - F_c^2)^2}{n_{obs} - n_{par}}}$$

Its expectation value is 1.0.

*G.o.F.* should be close to 1 for all reflections grouped either in ranges of resolution or in ranges of  $F^2$

$$wR_2 = \sqrt{\frac{\sum_{\mathbf{H}} w_{\mathbf{H}} (F_{obs}^2 - F_c^2)^2}{\sum_{\mathbf{H}} w_{\mathbf{H}} (F_{obs}^2)^2}}$$

Typical values for  $wR_2$  are 0.1+/-0.09

# Model quality measurement

Another often used quality measure is

$$R_1 = \frac{\sum_H ||F_o| - |F_c||}{\sum_H |F_o|}$$

$R_1$  does not have any relation to the theory of least squares and has a not well defined relation to statistical theory.

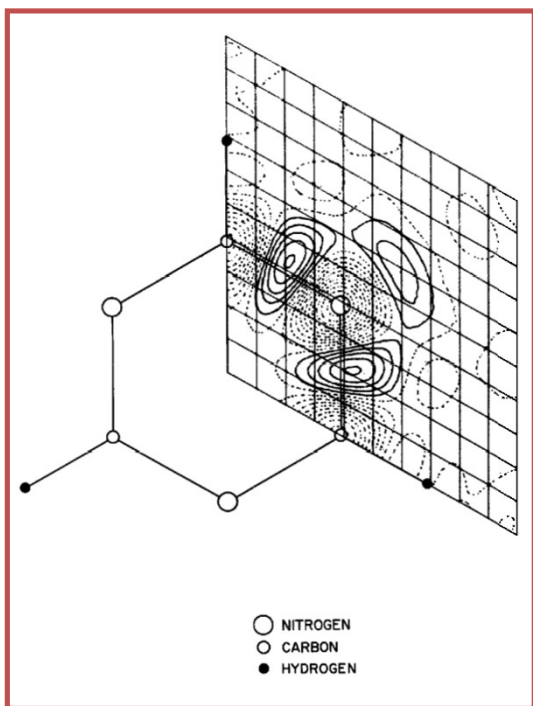
As a rule of thumb:  $wR_2 \sim 2 R_1$

Other criteria:

$wR_2 \gg R_{int}$  : model probably not complete

$R_{int} \gg wR_2$  : model may be over-parameterised

# Beyond conventional models: visualization of the chemical bond through the deformation density



## Comparative X-Ray and Neutron Diffraction Study of Bonding Effects in s-Triazine

*Abstract. X-ray and neutron-diffraction data were combined for study of deviations from spherical symmetry of the atomic charge distributions in the small organic molecule s-triazine. The results indicate that density has migrated from the atomic regions into the bonds and into the nitrogen lone-pair region. Refinement procedures for x-ray data, which do not take these bonding effects into account, give parameters containing small but measurable errors.*

$$\Delta\rho(\mathbf{r}) = \frac{1}{V} \sum_{|\mathbf{k}| \leq k_{max}} (\mathbf{F}_{X-ray,obs}(\mathbf{k}) - \mathbf{F}_{calc,spher}(\mathbf{k})) e^{i\mathbf{k}\mathbf{r}}$$

Based on a model refined from neutron diffraction

Coppens, P. *Science*, 1967, 158, 1577–1579.



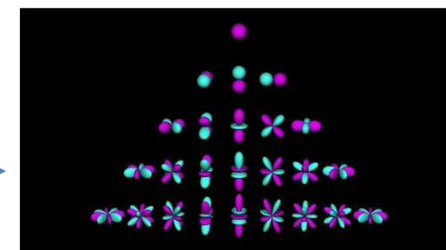
# Beyond conventional models: The multipolar model

Conventional crystal structure refinement

$$\rho_{unit\ cell}(\mathbf{r}) = \sum_{m=1}^{Nat \in unit\ cell} \rho_i(\mathbf{r} - \mathbf{r}_i)$$

Atom centred multipolar expansion with adjustable coefficients against Bragg intensities

$$\rho_i(\mathbf{r}) = \rho_{i,core}(\mathbf{r}) + P_{i,val} \kappa_{i,valence}^3 \rho_{i,valence}(\kappa \mathbf{r}) + \sum_{l=0}^{l_{max}} \left[ \kappa_i'^3 R_{i,l}(\kappa_i' \mathbf{r}) \sum_{m=0,l} P_{i,lm\pm} y_{lm\pm}(\mathbf{r}/r) \right]$$

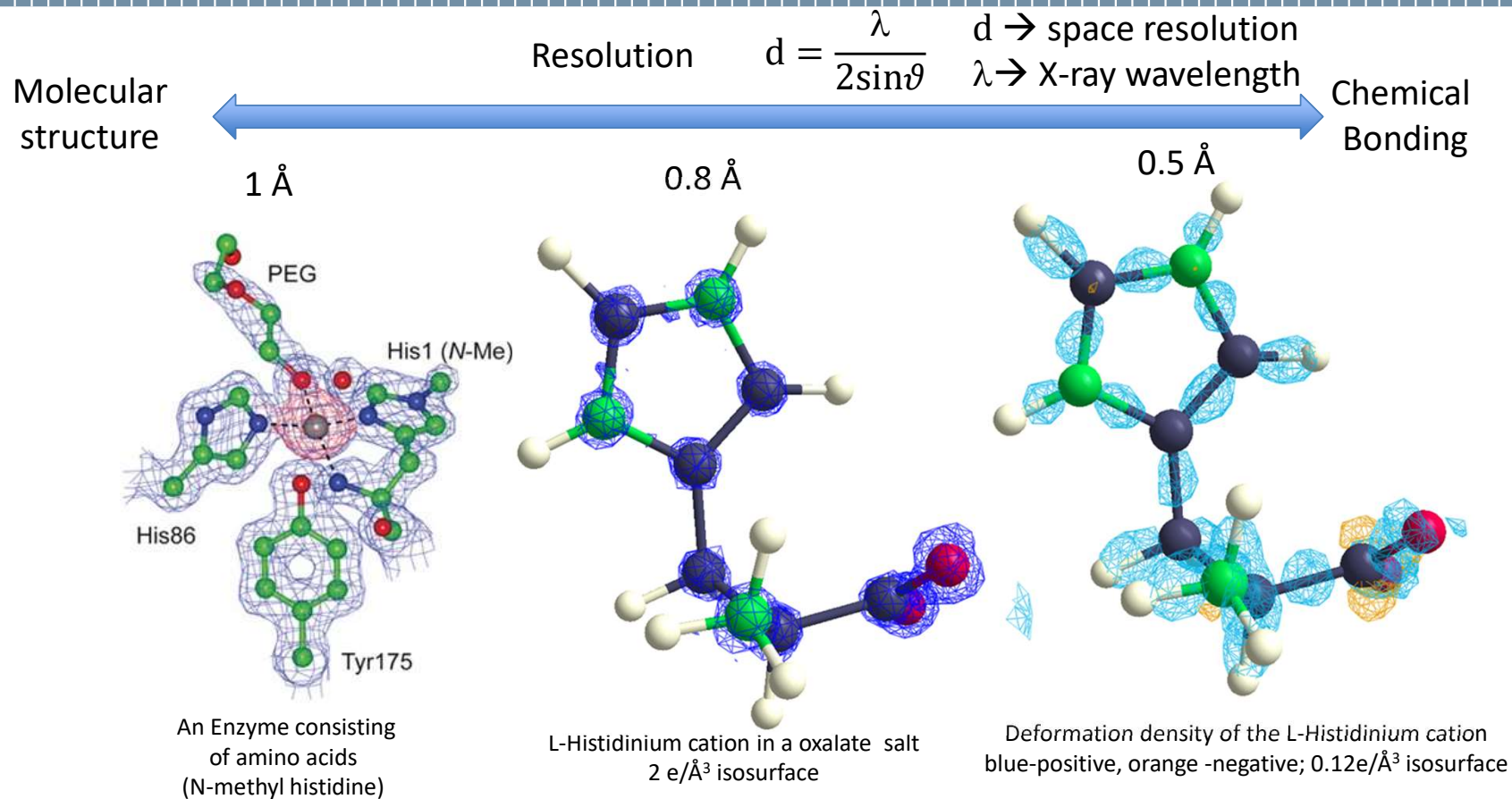


$$\mathcal{F}(\bar{\rho}_i(\mathbf{r})) \rightarrow f_i(\mathbf{k}) \rightarrow \sum_{i=1}^{Nat} f_i(\mathbf{k}) e^{-i\phi} \rightarrow \mathbf{F}(\mathbf{k}) \rightarrow I(\mathbf{k}) \div |\mathbf{F}(\mathbf{k})|^2$$

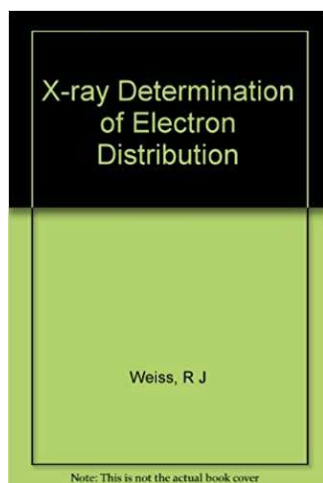
$$\rho_{i,deformation-valence}(\mathbf{r})$$

Kurki-Suonio K., Meisalo V. *J. Phys. Soc. Jap.* **1966**, 21, 122–126. Stewart R. F. *Acta Cryst.*, **1976**, A32, 565–574. Hirshfeld F. L. *Isr. J. Chem.* **1977**, 16, 226–229. Hansen NK, Coppens P. *Acta Cryst.* **1978**, A34, 909–921.

# Beyond conventional models: The multipolar model



# Beyond conventional models: Wavefunctions from X-ray diffraction



**Wavefunction models:** a molecular wavefunctions adjusted to reproduce the measured X-ray diffraction

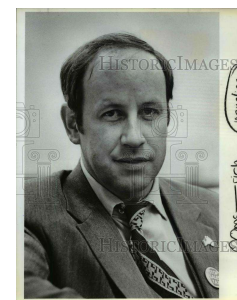
$$\hat{H}\psi = E\psi \quad \rho(\mathbf{r}) \div |\psi(\mathbf{r})|^2$$

Approximated Hamiltonian (e.g. Hartree-Fock)

$$\hat{H}^{HF} \psi^{HF} = E\psi^{HF}$$

Perturbative approach

$$\hat{H} = \hat{H}^{HF} + \hat{H}_{err=X-ray-HF}$$



Richard J. Weiss  
(1923-2008)

Weiss RJ. X-ray determination of Electron Distributions. North-Holland Publishing Company. Amsterdam. 1966.

# Beyond conventional models: Wavefunctions from X-ray diffraction

**Wavefunction models:** a molecular wavefunctions adjusted to reproduce close enough the measured X-ray diffraction

Jayatilaka D. *Phys. Rev. Lett.* **1998**, *80*, 798–801.

$$\hat{J}\psi_{XRW} = L\psi_{XRW} \quad \hat{J} = \hat{H}^0[\psi^0] + \lambda(\chi^2[\psi^0] - \Delta)$$

$$\chi^2 = \frac{1}{N_r - N_p} \sum_{\mathbf{H}} \frac{|\eta(F_{\mathbf{H}}^0)^2 - (F_{\mathbf{H}}^{\text{X-ray}})^2|}{[\sigma(F_{\mathbf{H}}^{\text{X-ray}})]^2}$$



Dylan Jayatilaka

**Density matrix** from X-ray diffraction experiments.  
N-representability problem

Clinton W, Massa L. *Phys. Rev. Lett.* **1972**, *29*, 1363-1366.

**Density matrix models:** Refinement of atomic expansion against Compton profiles

$$\Gamma(\mathbf{r}', \mathbf{r}) = \sum_a \Gamma_a(\mathbf{r}', \mathbf{r}) + \sum_{a,b} \Gamma_{ab}(\mathbf{r}', \mathbf{r})$$

Gillet J-M. *Acta Cryst.* **2007**, *A63*, 234-238.



Jean Michel Gillet



Lou Massa



William L. Clinton

# Take home message

- Diffraction is the other side of the coin of microscopy
- X-ray diffraction is the manifestation of the elastic scattering of photons by electrons of periodically ordered (crystalline) solids
- The result of X-ray diffraction is not a direct image of the inner structure of the material. The outcome is a model, with parameters refined in order to minimize the discrepancies with respect to observations.
- The model can go well beyond the simple positions of atoms in the structure. One can refine the average distribution of electrons or even compute a wavefunction restrained to the experimental observations.